

GLU MOBILE INC

Form 3

March 21, 2007

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Â Braff Jill S

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

03/21/2007

3. Issuer Name **and** Ticker or Trading Symbol

GLU MOBILE INC [GLUU]

4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Sr VP of Worldwide Mktg

C/O GLU MOBILE INC.,Â 1800
GATEWAY DRIVE, SECOND
FLOOR

(Street)

SAN MATEO,Â CAÂ 94404

(City)

(State)

(Zip)

1. Title of Security

(Instr. 4)

2. Amount of Securities

Beneficially Owned

(Instr. 4)

3. Ownership

Form: (Instr. 5)

Direct (D)

or Indirect

(I)

(Instr. 5)

4. Nature of Indirect Beneficial

Ownership

(Instr. 5)

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative

Security

(Instr. 4)

2. Date Exercisable and

Expiration Date

(Month/Day/Year)

Date Exercisable

Expiration
Date

3. Title and Amount of

Securities Underlying

Derivative Security

(Instr. 4)

Title

Amount or
Number of

4. Conversion

or Exercise

Price of

Derivative

Security

5. Ownership

Form of

Derivative

Security:

Direct (D)

6. Nature of Indirect

Beneficial

Ownership

(Instr. 5)

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				Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	12/18/2003 ⁽¹⁾	12/18/2007	Common Stock	666	\$ 0.3	D	Â
Employee Stock Option (right to buy)	12/29/2003 ⁽²⁾	02/04/2009	Common Stock	50,000	\$ 0.3	D	Â
Employee Stock Option (right to buy)	05/06/2004 ⁽²⁾	05/06/2009	Common Stock	33,333	\$ 0.3	D	Â
Employee Stock Option (right to buy)	06/30/2004 ⁽²⁾	06/30/2009	Common Stock	16,666	\$ 0.75	D	Â
Employee Stock Option (right to buy)	04/28/2006 ⁽³⁾	04/28/2006	Common Stock	25,000	\$ 4.5	D	Â
Employee Stock Option (right to buy)	12/15/2006 ⁽³⁾	12/15/2015	Common Stock	10,000	\$ 3.54	D	Â
Employee Stock Option (right to buy)	09/07/2007 ⁽³⁾	09/07/2016	Common Stock	74,999	\$ 10.53	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Braff Jill S C/O GLU MOBILE INC. 1800 GATEWAY DRIVE, SECOND FLOOR SAN MATEO, CA 94404	Â	Â	Â Sr VP of Worldwide Mktg	Â

Signatures

/s/ Jill S. Braff by Kevin Chou,
Attorney-in-Fact

03/21/2007

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Fully vested upon grant.

(2) Immediately exercisable. Option vests over four years with 25% of the shares vesting one year after grant, and the remaining shares vesting in equal monthly installments thereafter for a period of three years.

(3) Option vests over four years with 25% of the shares vesting one year after grant, and the remaining shares vesting in equal monthly installments thereafter for a period of three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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