

UNITED TECHNOLOGIES CORP /DE/

Form 4

January 26, 2007

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
DAVID GEORGE AL

(Last) (First) (Middle)

**UNITED TECHNOLOGIES
CORPORATION, ONE
FINANCIAL PLAZA**

(Street)

HARTFORD, CT 06101

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

**UNITED TECHNOLOGIES CORP
/DE/ [UTX]**

3. Date of Earliest Transaction
(Month/Day/Year)
01/24/2007

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Chairman and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	01/24/2007		M		300,000	A	\$ 18.9687
Common Stock	01/24/2007		F		85,152	D	\$ 66.83
Common Stock	01/24/2007		F		89,387	D	\$ 66.83
Common Stock	01/25/2007		S		5,000	D	\$ 66.15
	01/25/2007		S		15,461	D	\$ 66.2

Common
Stock

Common Stock	01/25/2007	S	12,100	D	\$ 66.25	1,778,289	D
Common Stock	01/25/2007	S	20,000	D	\$ 66.3	1,758,289	D
Common Stock	01/25/2007	S	5,000	D	\$ 66.4182	1,753,289	D
Common Stock	01/25/2007	S	5,000	D	\$ 66.7	1,748,289	D
Common Stock	01/25/2007	S	10,000	D	\$ 66.75	1,738,289	D
Common Stock	01/25/2007	S	4,000	D	\$ 66.8	1,734,289	D
Common Stock	01/25/2007	S	5,000	D	\$ 66.8004	1,729,289	D
Common Stock	01/25/2007	S	3,900	D	\$ 67.05	1,725,389 ⁽¹⁾	D

Common Stock	10,173.09	I	By Savings Plan Trustee
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Am Underlying Secu (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	A N S
Non-Qualified Stock Option (right to buy)	\$ 18.9687	01/24/2007		M		300,000		02/24/2000	02/24/2007	Common Stock	3

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DAVID GEORGE AL UNITED TECHNOLOGIES CORPORATION ONE FINANCIAL PLAZA HARTFORD, CT 06101	X		Chairman and CEO	

Signatures

By: /s/ Charles F. Hildebrand as
Attorney-in-Fact

01/26/2007

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reporting person also directly owns 97,064 shares of United Technologies Career Restricted Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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