

CARPENTER TECHNOLOGY CORP

Form 4

November 03, 2005

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ROSSIN ADA E

(Last) (First) (Middle)

C/O PNC ADVISORS -  
HAWTHORN, 249 FIFTH  
AVENUE, 2ND FLOOR

(Street)

PITTSBURGH, PA 15222

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading  
Symbol  
CARPENTER TECHNOLOGY  
CORP [CRS]

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/01/2005

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)  
Right to Nominate Director

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-----------------------------------------|
| Common<br>Stock                       | 08/09/2005                              |                                                             | G <sup>(1)</sup>                     | V 57,700 D \$ 0                                                         | 817,300                                                                                                            | I                                                                       | Co-Trustee<br>(2)                       |
| Common<br>Stock                       | 11/01/2005                              |                                                             | S                                    | 14,000 D \$ 61.0423<br>(3)                                              | 660,770                                                                                                            | I                                                                       | Trustee (4)                             |
| Common<br>Stock                       | 11/02/2005                              |                                                             | S                                    | 136,400 D \$ 61.3599<br>(5)                                             | 524,370                                                                                                            | I                                                                       | Trustee (4)                             |
| Common                                | 11/03/2005                              |                                                             | S                                    | 49,600 D \$ 61.375                                                      | 474,770                                                                                                            | I                                                                       | Trustee (4)                             |

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|              |            |   |       |   |            |        |   |                |  |
|--------------|------------|---|-------|---|------------|--------|---|----------------|--|
| Stock        |            |   |       |   | (6)        |        |   |                |  |
| Common Stock | 11/01/2005 | S | 700   | D | \$ 61.0423 | 53,722 | I | Co-Trustee (7) |  |
| Common Stock | 11/02/2005 | S | 6,800 | D | \$ 61.3599 | 46,922 | I | Co-Trustee (7) |  |
| Common Stock | 11/03/2005 | S | 2,500 | D | \$ 61.375  | 44,422 | I | Co-Trustee (7) |  |
| Common Stock | 11/01/2005 | S | 700   | D | \$ 61.0423 | 53,722 | I | Co-Trustee (8) |  |
| Common Stock | 11/02/2005 | S | 6,800 | D | \$ 61.3599 | 46,922 | I | Co-Trustee (8) |  |
| Common Stock | 11/03/2005 | S | 2,500 | D | \$ 61.375  | 44,422 | I | Co-Trustee (8) |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                          | Date<br>Exercisable                                            | Expiration<br>Date                                                        | Title                                               | Amount<br>or<br>Number<br>of<br>Shares                                     |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Reporting Owners

ROSSIN ADA E  
C/O PNC ADVISORS - HAWTHORN  
249 FIFTH AVENUE, 2ND FLOOR  
PITTSBURGH, PA 15222

Right to Nominate Director

## Signatures

John A. Martin, Attorney in Fact for Ada E.  
Rossin

11/03/2005

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On August 9, 2005, the Ada E. Rossin Revocable Trust dated 9/17/2003 gifted 57,700 shares to the Peter C. Rossin III Irrevocable Charitable Remainder Unitrust dated 7/7/2005, PNC Bank, Trustee.

(2) Indirect ownership as co-trustee of the Ada E. Rossin Revocable Trust dated 9/17/2003.

(3) Average trading price for shares sold; Price range of trades \$61.00 to \$61.24.

(4) Indirect ownership as Trustee of the Ada E. Rossin QTIP Trust dated 9/1/1998.

(5) Average trading price for shares sold; Price range of trades \$61.00 to \$61.69.

(6) Average trading price for shares sold; Price range of trades \$61.25 to \$61.73.

(7) Indirect ownership as co-trustee of trusts created pursuant to an Irrevocable Deed of Trust dated July 12, 1989 (Peter C. and Ada E. Rossin, Grantors) for the benefit of E.L. Stephans. The reporting person disclaims beneficial ownership of these securities and the filing of this Form 4 is not an admission that the reporting person is the beneficial owner of these securities for the purposes of Section 16, or for any other purposes.

(8) Indirect ownership as co-trustee of trusts created pursuant to an Irrevocable Deed of Trust dated July 12, 1989 (Peter C. and Ada E. Rossin, Grantors) for the benefit of K. R. Stephans. The reporting person disclaims beneficial ownership of these securities and the filing of this Form 4 is not an admission that the reporting person is the beneficial owner of these securities for the purposes of Section 16, or for any other purposes.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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