

MORRIS SCOTT L  
Form 4/A  
February 25, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
MORRIS SCOTT L

2. Issuer Name and Ticker or Trading Symbol  
AVISTA CORP [AVA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
1411 E MISSION AVE  
  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
02/25/2019

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Chairman and CEO

SPOKANE, WA 99202

4. If Amendment, Date Original Filed (Month/Day/Year)  
02/01/2018

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)                     | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|-----------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
|                                                     |                                      |                                                    | Code                           | V                                                                 | Amount                                                                                        | (A) or (D)                                               | Price                                                 |
| Common Stock - 2018 Restricted Stock Award          | 02/01/2018                           |                                                    | A                              |                                                                   | 9,813                                                                                         | A                                                        | 11                                                    |
| Common Stock - 2015, 2016 and 2017 Restricted Stock | 02/01/2018                           |                                                    | F                              |                                                                   | 5,228<br>(2)                                                                                  | D                                                        | \$ 50.08 208,725                                      |
| Common Stock -                                      | 02/01/2018                           |                                                    | A                              |                                                                   | 10,226                                                                                        | A                                                        | 13 218,951                                            |

Performance  
Shares

Common  
Stock -  
Performance  
Shares

02/01/2018

F

4,024  
(4)

D

\$  
50.08

214,927

D

Estimated  
shares held in  
401(k) Plan

164.64

I

held by  
Trustee

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3)            | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | Amount or Number of Shares |                            |
|-------------------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------------|----------------------------|----------------------------|
|                                                       |                                                        |                                      |                                                    | Code                           | V (A) (D)                                                                               | Date Exercisable                                         | Expiration Date                                             | Title                      | Amount or Number of Shares |
| Conversion of 2015 Performance Shares related to CEPS | (3)                                                    | 02/01/2018                           |                                                    | M                              |                                                                                         | 13,280                                                   | (3) (3)                                                     | Common Stock               | 13,280                     |
| Performance Share Grant for 2018                      | (5)                                                    | 02/01/2018                           |                                                    | A                              |                                                                                         | 29,438                                                   | (6) (6)                                                     | Common Stock               | 29,438                     |

## Reporting Owners

| Reporting Owner Name / Address                            | Relationships |           |                  |       |
|-----------------------------------------------------------|---------------|-----------|------------------|-------|
|                                                           | Director      | 10% Owner | Officer          | Other |
| MORRIS SCOTT L<br>1411 E MISSION AVE<br>SPOKANE, WA 99202 |               |           | Chairman and CEO |       |

## Signatures

/s/Scott L.  
Morris

02/25/2019

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Shares vest 1/3 each year over a 3-year period and are payable in Avista Corp. Common Stock at the end of each year in the 3-year period if performance measures are met.
  - (2) Shares withheld to pay income tax on Restricted Shares acquired 2-1-18.
  - (3) Each performance share represents a contingent right to receive a share of Avista Common Stock upon achieving a specified performance measure.
  - (4) Shares withheld to pay income tax on Performance Shares acquired 02/1/2018.
  - (5) No conversion price. Shares awarded if performance measure is met.
  - (6) Each performance cycle is 3 years in length. Shares will be issued at the end of each 3-year cycle if performance measure is met.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.