

TIVO INC

Form 3

November 02, 2005

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *Â ROBERTS MARK
ANDREW

(Last) (First) (Middle)

TIVO INC,Â 2160 GOLD
STREET

(Street)

ALVISO,Â CAÂ 95002

(City) (State) (Zip)

2. Date of Event Requiring
Statement(Month/Day/Year)
10/27/20053. Issuer Name **and** Ticker or Trading Symbol
TIVO INC [Tivo]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
SVP of Consumer Prods & Ops6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

20,525

D Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of
Derivative5. Ownership
Form of
Derivative
Security:6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Options (right to buy)	Â <u>(1)</u>	07/14/2009	Common Stock	45,000	\$ 8.5	D	Â
Stock Option (right to buy)	Â <u>(1)</u>	05/23/2010	Common Stock	40,000	\$ 20	D	Â
Stock Option (right to buy)	Â <u>(2)</u>	02/06/2011	Common Stock	20,834 <u>(9)</u>	\$ 6.3125	D	Â
Stock Option (right to buy)	Â <u>(3)</u>	05/07/2012	Common Stock	10,418 <u>(10)</u>	\$ 3.9	D	Â
Stock Option (right to buy)	Â <u>(4)</u>	01/23/2013	Common Stock	20,835 <u>(11)</u>	\$ 5.46	D	Â
Stock Option (right to buy)	Â <u>(5)</u>	04/24/2013	Common Stock	20,626 <u>(12)</u>	\$ 5.43	D	Â
Stock Option (right to buy)	Â <u>(6)</u>	05/03/2014	Common Stock	70,000 <u>(13)</u>	\$ 7.18	D	Â
Stock Option (right to buy)	Â <u>(7)</u>	04/24/2013	Common Stock	15,000 <u>(14)</u>	\$ 5.43	D	Â
Stock Option (right to buy)	Â <u>(8)</u>	03/02/2015	Common Stock	68,751 <u>(15)</u>	\$ 4.14	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ROBERTS MARK ANDREW TIVO INC 2160 GOLD STREET ALVISO, CA 95002	Â	Â	Â SVP of Consumer Prods & Ops	Â

Signatures

Laurence Denny, Attorney in Fact for: Mark Andrew Roberts

11/02/2005

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) As of 10/27/2005 these shares are fully vested.

(2) These shares were granted on 2/6/2001 and vest 1/60 per month for 60 months.

(3) These shares were granted on 5/7/2002 and vest 1/48 per month over 48 months

(4) These shares were granted 1/23/2003 and vest 1/48 per month over 48 months.

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- (5) These shares were granted on 4/24/2003 and vest 1/48 per month over 48 months.
- (6) These shares were granted on 5/3/2004 and vest 1/48 per month over 48 months.
- (7) These shares we granted on 4/24/2003 and vest based on company performance goals.
- (8) These shares were granted on 3/2/2005 and vest 1/48 per month over 48 months.
- (9) These shares consist of 17,499 shares that are vested and exercisable and 3,335 shares that have not yet been vested.
- (10) These shares consist of 3,126 shares that are vested and exercisable and 7,292 shares that have not yet been vested.
- (11) These shares consist of 5,209 shares that are vested and exercisable and 15,626 shares that have not yet been vested.
- (12) These shares consist of 2,813 shares that are vested and exercisable and 17,813 shares that have not yet been vested.
- (13) These shares consist of 24,791 shares that are vested and exercisable and 45,209 shares that have not yet been vested.
- (14) To date none of these shares are vested.
- (15) These shares consist of 4,687 shares that are vested and exercisable and 64,064 shares that have not yet been vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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