### Edgar Filing: RELIANCE STEEL & ALUMINUM CO - Form 4

#### RELIANCE STEEL & ALUMINUM CO

Form 4

March 10, 2017

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* **HOFFMAN JAMES DONALD** 

(First)

(State)

2. Issuer Name and Ticker or Trading Symbol

Issuer

5. Relationship of Reporting Person(s) to

**RELIANCE STEEL & ALUMINUM** 

(Check all applicable)

EVP, Chief Operating Officer

CO [RS]

02/27/2017

(Middle)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner \_X\_\_ Officer (give title Other (specify

below)

350 SOUTH GRAND **AVENUE, SUITE 5100** 

> (Street) 4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

LOS ANGELES, CA 90071

(City)	(State)	Tab	le I - Non-I	Derivative	Secu	rities Acc	quired, Disposed	of, or Benefici	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	02/27/2017		M	1,500	A	<u>(1)</u>	31,481	D	
Common Stock	02/27/2017		F	602	D	\$ 85.29	30,879	D	
Common Stock							914 (2)	I	Held by Trustee of

Reliance Steel & Aluminum

Co. **Employee** 

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Common Stock					3	,354	I	Stock Ownership Plan Held by Trustee of Reliance Steel & Aluminum Co. 401(k) Plan	
Reminder: Report on a separate line for each class of securities benefici					s who respon tion containe to respond s a currently	SEC 1474 (9-02)			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)									
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration I (Month/Day	Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Pr Deriv Secu (Inst
								Amount	

Code V (A)

M

or

of

Common

Stock

Number

Shares

1,500

Expiration Title

(3)

Date

(D)

1,500

Exercisable Date

(3)

# **Reporting Owners**

<u>(1)</u>

02/27/2017

Restricted

Stock

Units

Reporting Owner Name / Address	Relationships							
<b>Fg</b>	Director	10% Owner	Officer	Other				
HOFFMAN JAMES DONALD 350 SOUTH GRAND AVENUE SUITE 5100 LOS ANGELES, CA 90071			EVP, Chief Operating Officer					

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## **Signatures**

/s/ James Donald Hoffman by William A. Smith II as his Attorney-in-Fact

03/10/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of RS common stock and certain dividend equivalents.
- (2) Reflects an Employee Stock Ownership Plan allocation that has occurred since the date of the reporting person's last ownership report.
- (3) 1,500 restricted stock units vested on February 27, 2017.
- The reporting person also owns 3,480 shares of phantom stock (to be satisfied by a cash payment to the reporting person upon the
- (4) termination of his employment with the Issuer) as of the date of this report, which number increased by 76 shares since the date of the reporting person's last ownership report reporting such shares of phantom stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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