Edgar Filing: GROSFELD JAMES - Form 4

GROSFELI	D JAMES										
Form 4 April 02, 20	13										
									OMB APPROVAL		
CURIVI 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								N OMB Number	. 3235-0287		
	Check this box							Expires:	January 31,		
subject t Section	if no longer subject to Section 16.STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP O SECURITIESForm 4 or Form 5Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934							Estimate burden h respons	ed average hours per		
obligations may continue. See Instruction 1(b).											
(Print or Type	Responses)										
1. Name and A GROSFEL	er Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer						
(Last)		ock Inc. [(Ch	eck all applic	k all applicable)			
BLACKRO 52ND STR	of Earliest Transaction /Day/Year) /2013				X_ Director 10% Owner Officer (give title Other (specify below) below)						
NEW YOR	endment, Date Original onth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 						
NEW TORK, NT 10055 Person											
	-					rities A	cquired, Disposed		-		
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Dee (Month/Day/Year) Execution any (Month/	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common Stock	03/28/2013		А	115 <u>(1)</u>	А	\$ 0 (1)	525,807	D			
Common Stock	03/28/2013		А	583 <u>(2)</u>	А	\$ 0 (2)	526,390	D			
Common Stock							62,000	I	By Corporation		
Common Stock							100,000	I	By LLC		
Common Stock							13,000	Ι	By Trust		

Edgar Filing: GROSFELD JAMES - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5. N. I.	6. Date Exerc		7. Titl		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	· · · · · · · · · · · · · · · · · · ·		onNumber	Expiration D		Amou		Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)		rlying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities	•		(Instr.	. 3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						Ì
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable Date	Title	Number			
							Date		of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
GROSFELD JAMES BLACKROCK, INC. 55 EAST 52ND STREET NEW YORK, NY 10055	Х						
<u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u></u>							

Signatures

/s/ Daniel R. Waltcher as Attorney-in-Fact for James Grosfeld

**Signature of Reporting Person

04/02/2013

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Common Stock granted to Nonemployee Directors under the BlackRock, Inc. 1999 Stock Award and Incentive Plan, based on \$256.88 per share which was the closing price of the stock on March 28, 2013.

Restricted Stock Units granted to Nonemployee Directors under the Plan, based on \$256.88 per share which was the closing price per share of the Common Stock on March 28, 2013. Each Restricted Stock Unit is payable solely by delivery of an equal number of shares of

(2) Share of the Common Stock on Match 20, 2019. Each Restricted Stock of this payable solely by derivery of an equal number of shares of Common Stock, is immediately vested and will not be settled until the earlier of 3 years from grant or departure from the Board of Directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Edgar Filing: GROSFELD JAMES - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.