

Liberty Global, Inc.
Form 4
June 07, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
DICK JOHN W

(Last) (First) (Middle)

12300 LIBERTY BOULEVARD

(Street)

ENGLEWOOD, CO 80112

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

Liberty Global, Inc. [LBTY]

3. Date of Earliest Transaction
(Month/Day/Year)

06/07/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------------|---|---|--------------------------------------|---|--|--|---|
| Series A Common Stock | 06/07/2013 | | D | 14,882 D | 0 | D | |
| Series C Common Stock | 06/07/2013 | | D | 12,472 D | 0 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|---|--|---|---|--------------------------------------|--|--|--------------------|---|--|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | |
| Stock Option A (right to buy) | \$ 14.91 | 06/07/2013 | | D | 10,000 | <u>(2)</u> | 06/17/2019 | Series A Common Stock | 10,000 |
| Stock Option A (right to buy) | \$ 20.55 | 06/07/2013 | | D | 11,150 | <u>(2)</u> | 03/11/2014 | Series A Common Stock | 11,150 |
| Stock Option A (right to buy) | \$ 22.19 | 06/07/2013 | | D | 10,000 | <u>(2)</u> | 06/22/2016 | Series A Common Stock | 10,000 |
| Stock Option A (right to buy) | \$ 23.21 | 06/07/2013 | | D | 10,000 | <u>(2)</u> | 06/15/2015 | Series A Common Stock | 10,000 |
| Stock Option A (right to buy) | \$ 26.76 | 06/07/2013 | | D | 2,812 | <u>(2)</u> | 06/17/2020 | Series A Common Stock | 2,812 |
| Stock Option A (right to buy) | \$ 33 | 06/07/2013 | | D | 10,000 | <u>(2)</u> | 06/12/2018 | Series A Common Stock | 10,000 |
| Stock Option A (right to buy) | \$ 39.71 | 06/07/2013 | | D | 10,000 | <u>(2)</u> | 06/19/2017 | Series A Common Stock | 10,000 |
| Stock Option A (right to buy) | \$ 41.85 | 06/07/2013 | | D | 996 | <u>(3)</u> | 06/21/2021 | Series A Common Stock | 996 |
| | \$ 48.5 | 06/07/2013 | | D | 1,895 | <u>(4)</u> | 06/19/2022 | | 1,895 |

| | | | | | | | | | |
|--|----------|------------|---|--------|------------|------------|-----------------------------|-----------------------------|--|
| Stock Option A (right to buy) | | | | | | | | Series A Common Stock | |
| Stock Option C (right to buy) | \$ 14.82 | 06/07/2013 | D | 10,000 | <u>(2)</u> | 06/17/2019 | Series C Common Stock | 10,000 | |
| Stock Option C (right to buy) | \$ 19.45 | 06/07/2013 | D | 10,450 | <u>(2)</u> | 03/11/2014 | Series C Common Stock | 10,450 | |
| Stock Option C (right to buy) | \$ 21.58 | 06/07/2013 | D | 10,000 | <u>(2)</u> | 06/22/2016 | Series C Common Stock | 10,000 | |
| Stock Option C (right to buy) | \$ 21.98 | 06/07/2013 | D | 10,000 | <u>(2)</u> | 06/15/2015 | Series C Common Stock | 10,000 | |
| Stock Option C (right to buy) | \$ 26.79 | 06/07/2013 | D | 2,809 | <u>(2)</u> | 06/17/2020 | Series C Common Stock | 2,809 | |
| Stock Option C (right to buy) | \$ 31.4 | 06/07/2013 | D | 10,000 | <u>(2)</u> | 06/12/2018 | Series C Common Stock | 10,000 | |
| Stock Option C (right to buy) | \$ 37.51 | 06/07/2013 | D | 10,000 | <u>(2)</u> | 06/19/2017 | Series C Common Stock | 10,000 | |
| Stock Option C (right to buy) | \$ 40.2 | 06/07/2013 | D | 1,036 | <u>(3)</u> | 06/21/2021 | Series C Common Stock | 1,036 | |
| Stock Option C (right to buy) | \$ 46.9 | 06/07/2013 | D | 1,960 | <u>(4)</u> | 06/19/2022 | Series C Common Stock | 1,960 | |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

DICK JOHN W
12300 LIBERTY BOULEVARD X
ENGLEWOOD, CO 80112

Signatures

John W. Dick 06/07/2013

Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - (1) Disposed of in exchange for shares of a new parent company, Liberty Global plc, upon consummation of the combination of the Liberty Global, Inc. and Virgin Media Inc. under Liberty Global plc pursuant to that certain agreement and plan of merger dated as of February 5, 2013, as amended, at an exchange rate of one ordinary share of the corresponding class of Liberty Global plc ordinary shares for each share of Liberty Global, Inc. Series A and Series C common stock.
 - (2) The option was assumed by Liberty Global plc and is immediately exercisable.
 - (3) The option was assumed by Liberty Global plc and vests in three equal annual installments commencing on the date of the Issuer's 2011 annual meeting of stockholders.
 - (4) The option was assumed by Liberty Global plc and vests in three equal annual installments commencing on the date of the Issuer's 2012 annual meeting of stockholders.

Remarks:

The trading symbols for the Liberty Global, Inc.'s Series A, Series B and Series C ordinary shares are, respectively, LBTYA, L

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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