Liberty Global, Inc. Form 4 June 07, 2013

# FORM 4

### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading RAPLEY DAVID E Issuer Symbol Liberty Global, Inc. [LBTY] (Check all applicable) (First) (Middle) 3. Date of Earliest Transaction (Last) (Month/Day/Year) \_X\_\_ Director 10% Owner Other (specify Officer (give title 12300 LIBERTY BOULEVARD 06/07/2013 below) 6. Individual or Joint/Group Filing(Check (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting ENGLEWOOD, CO 80112 Person

(City)	(State) (Z	Zip) Table	I - Non-De	erivative S	Securi	ties Ac	quired, Disposed o	of, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. Transaction Code (Instr. 8)	4. Securi onAcquired Disposed (Instr. 3,	l (A) c l of (D	))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Series A Common Stock	06/07/2013		D	2,049	D D	( <u>1</u> )	0	D	
Series C Common Stock	06/07/2013		D	9,318	D	(1)	0	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exerc Expiration D (Month/Day/	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option A (right to buy)	\$ 14.91	06/07/2013		D		3,333	<u>(2)</u>	06/17/2019	Series A Common Stock	3,333
Stock Option A (right to buy)	\$ 26.76	06/07/2013		D		937	<u>(2)</u>	06/17/2020	Series A Common Stock	937
Stock Option A (right to buy)	\$ 41.85	06/07/2013		D		996	(3)	06/21/2021	Series A Common Stock	996
Stock Option A (right to buy)	\$ 48.5	06/07/2013		D		1,895	<u>(4)</u>	06/19/2022	Series A Common Stock	1,895
Stock Option C (right to buy)	\$ 14.82	06/07/2013		D		3,333	<u>(2)</u>	06/17/2019	Series C Common Stock	3,333
Stock Option C (right to buy)	\$ 26.79	06/07/2013		D		936	(2)	06/17/2020	Series C Common Stock	936
Stock Option C (right to buy)	\$ 40.2	06/07/2013		D		1,036	<u>(3)</u>	06/21/2021	Series C Common Stock	1,036
Stock Option C (right to buy)	\$ 46.9	06/07/2013		D		1,960	<u>(4)</u>	06/19/2022	Series C Common Stock	1,960

8. I De Sec

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RAPLEY DAVID E 12300 LIBERTY BOULEVARD X ENGLEWOOD, CO 80112

## **Signatures**

Michelle L. Keist, attorney-in-fact 06/07/2013

\*\*Signature of Reporting Person Dat

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Disposed of in exchange for shares of a new parent company, Liberty Global plc, upon consummation of the combination of the Liberty Global, Inc. and Virgin Media Inc. under Liberty Global plc pursuant to that certain agreement and plan of merger dated as of February 5, 2013, as amended, at an exchange rate of one ordinary share of the corresponding class of Liberty Global plc ordinary shares for each share of Liberty Global, Inc. Series A and Series C common stock.
- (2) The option was assumed by Liberty Global plc and is immediately exercisable.
- (3) The option was assumed by Liberty Global plc and vests in three equal annual installments commencing on the date of the Issuer's 2011 annual meeting of stockholders.
- (4) The option was assumed by Liberty Global plc and vests in three equal annual installments commencing on the date of the Issuer's 2012 annual meeting of stockholders.

### **Remarks:**

The trading symbols for the Liberty Global, Inc.'s Series A, Series B and Series C ordinary shares are, respectively, LBTYA, I Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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