

3M CO
Form 4
May 07, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
THULIN INGE G

(Last) (First) (Middle)

3M CENTER

(Street)

ST. PAUL, MN 55144-1000

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
3M CO [MMM]

3. Date of Earliest Transaction
(Month/Day/Year)
05/06/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chairman, President & CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/06/2014		S	800 ⁽¹⁾ D	\$ 139.48 95,449	D	
Common Stock	05/06/2014		S	1,000 ⁽¹⁾ D	\$ 139.49 94,449	D	
Common Stock	05/06/2014		S	100 ⁽¹⁾ D	\$ 139.492 94,349	D	
Common Stock	05/06/2014		S	100 ⁽¹⁾ D	\$ 139.495 94,249	D	
Common Stock	05/06/2014		S	2,000 ⁽¹⁾ D	\$ 139.5 92,249	D	

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Common Stock	05/06/2014	S	100 <u>(1)</u>	D	\$ 139.506	92,149	D
Common Stock	05/06/2014	S	1,400 <u>(1)</u>	D	\$ 139.51	90,749	D
Common Stock	05/06/2014	S	400 <u>(1)</u>	D	\$ 139.52	90,349	D
Common Stock	05/06/2014	S	100 <u>(1)</u>	D	\$ 139.5225	90,249	D
Common Stock	05/06/2014	S	600 <u>(1)</u>	D	\$ 139.53	89,649	D
Common Stock	05/06/2014	S	1,000 <u>(1)</u>	D	\$ 139.54	88,649	D
Common Stock	05/06/2014	S	100 <u>(1)</u>	D	\$ 139.545	88,549	D
Common Stock	05/06/2014	S	1,000 <u>(1)</u>	D	\$ 139.55	87,549	D
Common Stock	05/06/2014	S	200 <u>(1)</u>	D	\$ 139.555	87,349	D
Common Stock	05/06/2014	S	600 <u>(1)</u>	D	\$ 139.56	86,749	D
Common Stock	05/06/2014	S	100 <u>(1)</u>	D	\$ 139.565	86,649	D
Common Stock	05/06/2014	S	400 <u>(1)</u>	D	\$ 139.57	86,249	D
Common Stock	05/06/2014	S	100 <u>(1)</u>	D	\$ 139.572	86,149	D
Common Stock	05/06/2014	S	909 <u>(1)</u>	D	\$ 139.58	85,240	D
Common Stock	05/06/2014	S	1,700 <u>(1)</u>	D	\$ 139.59	83,540	D
Common Stock	05/06/2014	S	100 <u>(1)</u>	D	\$ 139.592	83,440	D
Common Stock	05/06/2014	S	540 <u>(1)</u>	D	\$ 139.6	82,900	D
Common Stock	05/06/2014	S	1,383 <u>(1)</u>	D	\$ 139.61	81,517	D
Common Stock	05/06/2014	S	100 <u>(1)</u>	D	\$ 139.612	81,417	D
Common Stock	05/06/2014	S	777 <u>(1)</u>	D	\$ 139.62	80,640	D
	05/06/2014	S	200 <u>(1)</u>	D	\$ 139.621	80,440	D

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Common
Stock

Common Stock	05/06/2014	S	300 ⁽¹⁾	D	\$ 139.63	80,140	D
Common Stock	05/06/2014	S	100 ⁽¹⁾	D	\$ 139.634	80,040	D
Common Stock	05/06/2014	S	100 ⁽¹⁾	D	\$ 139.635	79,940	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
THULIN INGE G 3M CENTER ST. PAUL, MN 55144-1000	X Chairman, President & CEO

Signatures

George Ann Biros, attorney-in-fact for Inge G.
Thulin

05/07/2014

 Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on November 14, 2013.

Remarks:

Form 4 filing 2 of 5

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