Facebook Inc Form 4 May 01, 2015

# FORM 4

#### **OMB APPROVAL**

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person ** Koum Jan	2. Issuer Name <b>and</b> Ticker or Trading Symbol Facebook Inc [FB]	5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle)  C/O FACEBOOK, INC., 1601 WILLOW ROAD	3. Date of Earliest Transaction (Month/Day/Year) 04/29/2015	(Check all applicable)  _X_ Director 10% Owner Officer (give title Other (specify below)			
(Street)  MENLO PARK, CA 94025	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

(City)	(State)	(Zip) Ta	ble I - Non	-Derivative Se	curiti	es Acquire	d, Disposed of, o	r Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities a conDisposed of ( (Instr. 3, 4 an	D)	red (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	02/11/2015			3,442,873		\$ 0	57,637,354	I	By Jan Koum, Trustee of The Butterfly Trust U/A/D 1/20/2004 (2)
Class A Common Stock	02/11/2015		G(1) V	3,442,873	A	\$ 0	3,442,873	I	By Jan Koum and BNY Mellon Trust of

## Edgar Filing: Facebook Inc - Form 4

								Delaware, Co-Trustees of The Jan Koum Trust IV U/A/D 2/4/2015 (3)
Class A Common Stock	04/29/2015	J <u>(4)</u>	3,676,384	D	\$ 80.455	141,489	I	By Jan Koum, Trustee of The Jan Koum Trust II U/A/D 8/5/2014 (5)
Class A Common Stock	04/29/2015	J <u>(4)</u>	3,676,384	A	\$ 80.455	61,313,738	I	By Jan Koum, Trustee of The Butterfly Trust U/A/D 1/20/2004 (2)
Class A Common Stock	04/29/2015	G(6) V	V 3,500,000	D	\$0	57,813,738	I	By Jan Koum, Trustee of The Butterfly Trust U/A/D 1/20/2004 (2)
Class A Common Stock	04/29/2015	G <u>(6)</u> V	V 3,500,000	A	\$ 0	3,500,000	I	By Jan Koum and BNY Mellon Trust of Delaware, Co-Trustees of The Jan Koum Trust V U/A/D 4/29/2015 (7)
Class A Common Stock						141,489	I	By Jan Koum, Trustee of The Jan Koum Trust I U/A/D 4/29/2014 (8)
Class A Common						3,817,873	I	By Jan Koum,

Stock

Trustee of The Jan Koum Trust III U/A/D 10/9/2014 (9)

> Deriv Secur Bene Own Follo Repo Trans (Instr

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr. 3	0 8) D S A (A D 0 (1	Number	6. Date Exerc Expiration Da (Month/Day/	ate	7. Titl Amou Under Secur (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)
				Code	V (	A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Koum Jan C/O FACEBOOK, INC. 1601 WILLOW ROAD MENLO PARK, CA 94025	X							

## **Signatures**

/s/ Michael Johnson as attorney-in-fact for Jan
Koum 05/01/2015

Date

# \*\*Signature of Reporting Person Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

**(1)** 

Reporting Owners 3

### Edgar Filing: Facebook Inc - Form 4

On February 11, 2015, Jan Koum, Trustee of The Butterfly Trust U/A/D 1/20/2004 contributed 3,442,873 shares of Class A common stock to Jan Koum and BNY Mellon Trust of Delaware, Co-Trustees of The Jan Koum Trust IV U/A/D 2/4/2015, a grantor retained annuity trust for the benefit of the reporting holder.

- (2) Shares held of record by Jan Koum, Trustee of The Butterfly Trust U/A/D 1/20/2004.
- (3) Shares held of record by Jan Koum and BNY Mellon Trust of Delaware, Co-Trustees of The Jan Koum Trust IV U/A/D 2/4/2015.
  - Represents the transfer of shares from Jan Koum, Trustee of The Jan Koum Trust II U/A/D 8/5/2014 to Jan Koum, Trustee of The
- (4) Butterfly Trust U/A/D 1/20/2004. This transaction represents a substitution of assets between the trusts, and the reporting person remains the beneficial owner of all of the shares after the transfer.
- (5) Shares held of record by Jan Koum, Trustee of The Jan Koum Trust II U/A/D 8/5/2014.
  - On April 29, 2015, Jan Koum, Trustee of The Butterfly Trust U/A/D 1/20/2004 contributed 3,500,000 shares of Class A common stock to
- (6) Jan Koum and BNY Mellon Trust of Delaware, Co-Trustees of The Jan Koum Trust V U/A/D 4/29/2015, a grantor retained annuity trust for the benefit of the reporting holder.
- (7) Shares held of record by Jan Koum and BNY Mellon Trust of Delaware, Co-Trustees of The Jan Koum Trust V U/A/D 4/29/2015.
- (8) Shares held of record by Jan Koum, Trustee of The Jan Koum Trust I U/A/D 4/29/2014.
- (9) Shares held of record by Jan Koum, Trustee of The Jan Koum Trust III U/A/D 10/9/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.