UMB FINANCIAL CORP
Form 4
May 27, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *
Hagedorn Michael D

(Last) (First) (Middle)

1010 GRAND BLVD.

(Street)

KANSAS CITY, MO 64106

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading Symbol
UMB FINANCIAL CORP [UMBF]

3. Date of Earliest Transaction (Month/Day/Year)
05/25/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

_____ Director _____ 10% Owner
__X__ Officer (give title below) _____ Other (specify below)
President of Subsidiary

6. Individual or Joint/Group Filing(Check Applicable Line)
_X_ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	05/25/2016		M		9,128	A	$ 37.84	68,631.7538 (1)	D	
Common Stock	05/25/2016		M		4,295	A	$ 41.37	59,503.7538	D	
Common Stock	05/25/2016		S		100	D	$ 56.835	75,756.7538	D	
Common Stock	05/25/2016		S		200	D	$ 56.01	75,856.7538	D	
Common Stock	05/25/2016		M		7,425	A	$ 39.97	76,056.7538	D	

Common Stock	05/25/2016	S	100	D	$ 56.92	75,656.7538	D
Common Stock	05/25/2016	S	25	D	$ 57.17	75,631.7538	D
Common Stock	05/25/2016	S	100	D	$ 57.185	75,531.7538	D
Common Stock	05/25/2016	S	100	D	$ 57.24	75,431.7538	D
Common Stock	05/25/2016	S	100	D	$ 57.3	75,331.7538	D
Common Stock	05/25/2016	S	100	D	$ 57.36	75,231.7538	D
Common Stock	05/25/2016	S	100	D	$ 57.37	75,131.7538	D
Common Stock	05/25/2016	S	100	D	$ 57.39	75,031.7538	D
Common Stock	05/25/2016	S	100	D	$ 57.41	74,931.7538	D
Common Stock	05/25/2016	S	100	D	$ 57.43	74,831.7538	D
Common Stock	05/25/2016	S	290	D	$ 57.45	74,541.7538	D
Common Stock	05/25/2016	S	978	D	$ 57.46	73,563.7538	D
Common Stock	05/25/2016	S	290	D	$ 57.47	73,273.7538	D
Common Stock	05/25/2016	S	100	D	$ 57.475	73,173.7538	D
Common Stock	05/25/2016	S	400	D	$ 57.48	72,773.7538	D
Common Stock	05/25/2016	S	200	D	$ 57.485	72,573.7538	D
Common Stock	05/25/2016	S	200	D	$ 57.49	72,373.7538	D
Common Stock	05/25/2016	S	502	D	$ 57.5	71,871.7538	D
Common Stock	05/25/2016	S	100	D	$ 57.505	71,771.7538	D
Common Stock	05/25/2016	S	708	D	$ 57.51	71,063.7538	D
	05/25/2016	S	700	D	$ 57.52	70,363.7538	D

Common Stock								
Common Stock	05/25/2016	S	200	D	$ 57.525	70,163.7538	D	
Common Stock	05/25/2016	S	1,580	D	$ 57.53	68,583.7538	D	
Common Stock	05/25/2016	S	100	D	$ 57.535	68,483.7538	D	
Common Stock						239.9539	I	By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	$ 37.84	05/25/2016		M			9,128	01/01/2013(2)	01/01/2020	Common Stock	9,128
Stock Option (Right to Buy)	$ 41.37	05/25/2016		M			4,295	01/01/2012(3)	01/01/2019	Common Stock	4,295
Stock Option (Right to Buy)	$ 39.97	05/25/2016		M			7,425	01/01/2015(4)	01/01/2022	Common Stock	7,425

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hagedorn Michael D 1010 GRAND BLVD. KANSAS CITY, MO 64106			President of Subsidiary	

Signatures

John Pauls, Attorney-in-fact for Mr. Hagedorn	05/27/2016
**Signature of Reporting Person	Date

Explanation of Responses:

***** If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

****** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes shares acquired from dividend reinvestment

(2) Options will vest 50% on 1/1/2013; 75% on 1/1/2014; and 100% on 1/1/2015

(3) Options will vest 50% on 1/1/2012, 75% on 1/1/2013, and 100% on 1/1/2014

(4) Options will vest 50% on 1/1/2015, 75% on 1/1/2016 and 100% on 1/1/2017.

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