Edgar Filing: WELLS FARGO & COMPANY/MN - Form 4

WELLS FARGO & COMPANY/MN

Form 4

November 08, 2016

November 08	, 2010								
FORM	4 UNITED S					COMMISSION		PPROVAL 3235-0287	
Check this if no long subject to Section 16 Form 4 or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							January 31, 2005 average irs per 0.5	
Form 5 obligation may conti <i>See</i> Instru-1(b).	Section 17(a	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							
(Print or Type R	esponses)								
1. Name and Address of Reporting Person ** Codel Franklin R			suer Name and ol LS FARGO) &	Γrading	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			IPANY/MN			(Chock air approunts)			
(Last) (First) (Middle) 1 HOME CAMPUS			e of Earliest T h/Day/Year) 1/2016	ransaction		Director 10% OwnerX Officer (give title Other (specify below) Sr. Executive Vice President			
			Amendment, Day/Yea	~		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
DES MOINE	ES, IA 50328					Form filed by N Person	Iore than One Re	eporting	
(City)	(State) (Zip)	able I - Non-I	Derivative S	Securities Ac	equired, Disposed of	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	Security (Month/Day/Year) Execution		Code	4. SecuriionAcquired Disposed (Instr. 3,	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
C			Code V	7 Amount	(D) Price	(Instr. 3 and 4)			
Common Stock, \$1 2/3 Par Value						70,215	D		
Common Stock, \$1 2/3 Par Value						20	I	By child	
Common Stock, \$1 2/3 Par Value						11,113.3525 (1)	I	Through 401(k) Plan	

Edgar Filing: WELLS FARGO & COMPANY/MN - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock Units	(2)	11/04/2016		A	85.9063		<u>(3)</u>	(3)	Common Stock, \$1 2/3 Par Value	85.9063

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Codel Franklin R 1 HOME CAMPUS DES MOINES, IA 50328

Sr. Executive Vice President

Signatures

Franklin R. Codel, by Anthony R. Augliera, as Attorney-in-Fact

11/08/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects share equivalent of units in the Wells Fargo ESOP Fund under the 401(k) Plan (the "Plan") as of November 1, 2016, as if investable cash equivalents held by Plan were fully invested in Wells Fargo & Company common stock.
- (2) Conversion price is 1-for-1. Includes shares held through supplemental 401(k) plan and deferred compensation plan.
- (3) Deferred compensation shares payable in installments based upon executive's election. Supplemental 401(k) plan shares payable upon retirement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2