3M CO Form 4/A February 22, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**SECURITIES** 

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* Little Frank R Jr.

(First)

(Street)

Symbol

(Middle)

3M CO [MMM]

3. Date of Earliest Transaction

(Month/Day/Year) 02/07/2017

3M CENTER

4. If Amendment, Date Original Filed(Month/Day/Year)

02/09/2017

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB** 

Number:

Expires:

response...

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Director 10% Owner Other (specify X\_ Officer (give title below)

**Executive Vice President** 

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

ST. PAUL, MN 55144-1000

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative Sec	curities A	Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securities Approximately 1.	of (D) d 5)  (A) or	` ,	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/07/2017		Code V A	Amount 8,358.608	( )	Price \$ 0	13,742.7475	D	
Common Stock	02/07/2017		F	4,047 (1)	D 5	\$ 0	9,695.7475	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: 3M CO - Form 4/A

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Nun of S
Non-qualified Stock Option (Right to Buy)	\$ 175.76	02/07/2017		A	61,990	02/07/2018	02/08/2027	Common Stock	61,

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Little Frank R Jr. 3M CENTER

**Executive Vice President** 

ST. PAUL, MN 55144-1000

## **Signatures**

/s/ Sheila B. Claugherty, attorney-in-fact for Frank R. Little

02/22/2017

Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On February 9, 2017, the reporting person reported the imminent delivery of a number of shares of 3M Common Stock earned as a result of the 2014 performance shares awarded to the reporting person under the 3M Long-Term Incentive Plan. The number of shares to be withheld from this delivery for the payment of withholding taxes was estimated at that time. This amendment is being filed to update the Form 4 with the number of shares actually received after withholding taxes.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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