

BIBERSTEIN KATHRYN L

Form 4

February 21, 2018

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
BIBERSTEIN KATHRYN L

(Last) (First) (Middle)

852 WINTER ST.

(Street)

WALTHAM, MA 02451

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

Alkermes plc. [ALKS]

3. Date of Earliest Transaction
(Month/Day/Year)

02/16/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director _____ 10% Owner
X Officer (give title _____ Other (specify
below) below)

EVP/CAO/CCO/CRO Alks Inc;

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Ordinary Shares	02/17/2018		M	3,750 A	\$ 0 15,974	D	
Ordinary Shares	02/17/2018		F	1,148 D	\$ 67.26 14,826	D	
Ordinary Shares	02/20/2018		M	6,582 A	\$ 11.74 21,408	D	
Ordinary Shares	02/20/2018		M	3,588 A	\$ 18.105 24,996	D	
Ordinary Shares	02/20/2018		M	4,107 A	\$ 16.55 29,103	D	

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Ordinary Shares	02/20/2018	M	2,965	A	\$ 33.72	32,068	D	
Ordinary Shares						28,856	I	By 2016 GRAT <u>(1)</u>
Ordinary Shares						113,017	I	By 2017 GRAT <u>(2)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title
Restricted Stock Unit Award	\$ 0	02/16/2018		A	17,500	02/16/2019 ⁽³⁾ ⁽³⁾	Ordinary Shares 1
Employee Stock Option (Right to Buy)	\$ 67.26	02/16/2018		A	71,000	02/16/2019 ⁽⁴⁾ 02/16/2028	Ordinary Shares 7
Restricted Stock Unit Award	\$ 0	02/17/2018		M	3,750	02/17/2018 ⁽⁵⁾ ⁽⁵⁾	Ordinary Shares 3
Employee Stock Option (Right to Buy)	\$ 11.74	02/20/2018		M	6,582	⁽⁶⁾ 05/17/2020	Ordinary Shares 6
Employee Stock Option (Right to Buy)	\$ 18.105	02/20/2018		M	3,588	⁽⁶⁾ 05/20/2021	Ordinary Shares 3

Employee
Stock
Option
(Right to
Buy)

\$ 16.55 02/20/2018

M

4,107

(6)

05/21/2022

Ordinary
Shares

Employee
Stock
Option
(Right to
Buy)

\$ 33.72 02/20/2018

M

2,965

(6)

05/28/2023

Ordinary
Shares

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
BIBERSTEIN KATHRYN L 852 WINTER ST. WALTHAM, MA 02451	EVP/CAO/CCO/CRO Alks Inc;

Signatures

/s/ Jennifer Baptiste, attorney-in-fact for Kathryn L.
Biberstein

02/21/2018

 **Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares held by the Kathryn L. Biberstein 2016 Annuity Trust dated December 9, 2016 (the "2016 GRAT"). The Reporting Person is a trustee and beneficiary of the 2016 GRAT and may be deemed to hold voting and dispositive power with regard to the reported shares held by the 2016 GRAT.

(2) Shares held by the Kathryn L. Biberstein 2017 Annuity Trust dated December 11, 2017 (the "2017 GRAT"). The Reporting Person is a trustee and beneficiary of the 2017 GRAT and may be deemed to hold voting and dispositive power with regard to the reported shares held by the 2017 GRAT.

(3) Shares subject to the restricted stock unit award vest in equal annual installments over a four year period, commencing on 2/16/19.

(4) Shares subject to the stock option award vest in four equal annual installments commencing on 2/16/2019.

(5) Shares subject to the restricted stock unit award vest in equal annual installments over a four year period, commencing on 2/17/18.

(6) These options are fully vested in accordance with their terms.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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