Edgar Filing: Kent Ahmet Muhtar - Form 4

| Kent Ahmet Form 4 | | | | | | | | | | | | |
|--|--|--|---|--|------------------------|--|---|--|---|--|--|--|
| August 15, 2 | | STATES | | | | | NGE | COMMISSIC | | APPROVAL 3235-0287 | | |
| Check th if no long subject to Section 1 Form 4 o Form 5 obligatio may cont <i>See</i> Instru 1(b). | ger 5 16. 5 5 5 5 5 5 5 5 5 5 5 5 5 | Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, ection 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | Expires:January 31Expires:2005Estimated averageburden hours perresponse0.5 | | |
| (Print or Type I | Responses) | | | | | | | | | | | |
| Kent Ahmet Muhtar Symbol | | | r Name and Ticker or Trading | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | | |
| (Last) | (First) (| Middle) | | f Earliest Tr | ansaction | | | (C | heck all applica | able) | | |
| 3M CENTER (Month/I 08/14/2 (Street) 4. If Amo | | | (Month/E 08/14/2 | - | | | | X_ Director 10% Owner Officer (give title Other (specify below) below) | | | | |
| | | | nendment, Date Original onth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | | |
| MAPLEWO | DOD, MN 55144 | | | | | | | Form filed b Person | by More than One | e Reporting | | |
| (City) | (State) | (Zip) | Tab | le I - Non-E | erivative | Secu | rities A | cquired, Disposed | d of, or Benefi | cially Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | n Date, if | 3. Transactic Code (Instr. 8) | Disposed (Instr. 3, | (A) of (D d of (D d and (A) or |)) 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common Stock | 08/14/2018 | | | Code V A | 197 <u>(1)</u> | | Price \$ 0 | 10,855 <u>(2)</u> | Ι | By Corporation | | |
| | | | | | | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. 6. Date Exercisable a orNumber Expiration Date of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, | | ate | 7. Titl Amou Under Secur (Instr. | int of lying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr | |
|---|---|---|---------------------------------------|---|----|---------------------|--|-----------------|---|--|--|
| | | | Code V | 4, and 5 | D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | | | | | | | | |
|-----------------------------------|----------|------------|---------|------------|--|--|--|--|
| 1 | Director | 10% Owner | Officer | Other | | | | |
| Kent Ahmet Muhtar | | | | | | | | |
| 3M CENTER | Х | | | | | | | |
| MAPLEWOOD, MN 55144 | | | | | | | | |
| Signatures | | | | | | | | |
| /s/ Sheila B. Claugherty, attorne | | 08/15/2018 | | | | | | |
| Kent | | | | 00/10/2010 | | | | |

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This non-employee director has elected to defer all or a portion of compensation otherwise payable in cash or stock to a common stock
 (1) equivalents account under the terms of 3M's Compensation Plan for Non-employee Directors and has no voting or investment powers with respect to such account.

(2) Includes acquisition of deferred dividend reinvestment shares pursuant to 3M's Compensation Plan for Non-Employee Directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.