#### SEELBACH CHLOE R

Form 4 April 01, 2019

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response... 0.5

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* SEELBACH CHLOE R

2. Issuer Name and Ticker or Trading

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 03/28/2019

Director 10% Owner Officer (give title \_\_X\_ Other (specify below)

below)

5875 LANDERBROOK DRIVE

6. Individual or Joint/Group Filing(Check

Member of a Group

Applicable Line)

4. If Amendment, Date Original Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

MAYFIELD HEIGHTS, OH 44124

(Street)

| (City)                               | (State)                              | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                 |                                       |                              |                    |  |  |   |  |  |
|--------------------------------------|--------------------------------------|--|-----------------|---------------------------------------|------------------------------|--------------------|--|--|---|--|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | Code (Instr. 8) | 4. Securi<br>on(A) or D<br>(Instr. 3, | ispose<br>4 and<br>(A)<br>or | d of (D)           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)                     |  |  |
| Class A<br>Common<br>Stock           | 03/28/2019                           |  | P               | 1 (1)                                 | A                            | \$<br>62.46<br>(2) | 100  | I  | proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates V  |  |  |
| Class A<br>Common<br>Stock           | 03/28/2019                           |  | P               | 2 (1)                                 | A                            | \$<br>62.46<br>(2) | 506  | I  | Proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI |  |  |
| Class A<br>Common                    | 03/28/2019                           |  | P               | 3 (1)                                 | A                            | \$<br>62.46        | 600  | I  | Spouse's proportionate  |  |  |

| Stock                      |            |   |       |   | (2)                |     |   | interest in<br>shares held by<br>Rankin<br>Associates VI                             |
|----------------------------|------------|---|-------|---|--------------------|-----|---|--|
| Class A<br>Common<br>Stock | 03/28/2019 | P | 3 (1) | A | \$<br>62.46<br>(2) | 600 | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI |
| Class A<br>Common<br>Stock | 03/28/2019 | P | 3 (1) | A | \$ 62.46<br>(2)    | 600 | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI |
| Class A<br>Common<br>Stock | 03/28/2019 | P | 3 (1) | A | \$ 62.46<br>(2)    | 600 | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI |
| Class A<br>Common<br>Stock | 03/29/2019 | P | 1 (1) | A | \$<br>62.49        | 101 | I | proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates V             |
| Class A<br>Common<br>Stock | 03/29/2019 | P | 2 (1) | A | \$<br>62.49        | 508 | I | Proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI            |
| Class A<br>Common<br>Stock | 03/29/2019 | P | 3 (1) | A | \$<br>62.49        | 603 | I | Spouse's proportionate interest in shares held by Rankin Associates VI               |
| Class A<br>Common<br>Stock | 03/29/2019 | P | 3 (1) | A | \$<br>62.49        | 603 | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI |
| Class A<br>Common<br>Stock | 03/29/2019 | P | 3 (1) | A | \$<br>62.49        | 603 | I | Child's proportionate interest in  |

| Class A<br>Common<br>Stock | 03/29/2019 | P | 3 (1) | A | \$<br>62.49 | 603    | I | shares held by<br>Rankin<br>Associates VI<br>Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI |
|----------------------------|------------|---|-------|---|-------------|--------|---|---|
| Class A<br>Common<br>Stock |            |   |       |   |             | 10,497 | I | Reporting<br>Person?s<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates II.                              |
| Class A<br>Common<br>Stock |            |   |       |   |             | 7,326  | Ι | Held by Trust<br>for the benefit<br>of Reporting<br>Person.   |
| Class A<br>Common<br>Stock |            |   |       |   |             | 634    | I | Minor child's trust?s proportionate interests in shares held by Rankin Associates II.   |
| Class A<br>Common<br>Stock |            |   |       |   |             | 563    | I | Reporting Person is trustee of Trust fbo minor child.   |
| Class A<br>Common<br>Stock |            |   |       |   |             | 722    | I | Held by spouse (3)  |
| Class A<br>Common<br>Stock |            |   |       |   |             | 1,321  | I | Spouse's proportionate interests in shares held by Rankin Associates II.  |
| Class A<br>Common<br>Stock |            |   |       |   |             | 475    | I | Minor child's trust?s proportionate   |

|   |   |   |   |   |   |   |  | share<br>Rank                                   | ests in<br>es held by<br>in<br>ciates II. |  |
|---|---|---|---|---|---|---|--|---|---|--|
| Class A<br>Common<br>Stock                          |   |   |   |   |   | 722   | I                                      | Repo<br>Perso<br>truste<br>Trust<br>mino<br>(3) | on is<br>ee of                            |  |
| Class A<br>Common<br>Stock                          |   |   |   |   |   | 853   | I                                      | trust?<br>propo<br>intere<br>share<br>Rank      | ortionate<br>ests in<br>es held by        |  |
| Reminder: R   | eport on a sepa   | arate line for each cla                 |   | Persons<br>informate<br>required<br>display<br>number | s who res<br>ation cont<br>d to respo<br>s a currer | pond to the<br>ained in this<br>and unless t<br>atly valid OM | s form are i<br>the form<br>MB control |   | 1474<br>9-02)                             |  |
|   |   |   | ntive Securities Acqu<br>outs, calls, warrants, |   |   |   | Owned                                  |   |   |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) |   | 4.<br>Transactio<br>Code<br>(Instr. 8)                | of  |   | ate                                    | 7. Title and Underlying (Instr. 3 and           | Securities                                | 8. Price<br>Derivati<br>Security<br>(Instr. 5) |
|   |   |   |   | Code V  | (A) (D)   | Date<br>Exercisable   | Expiration<br>Date                     | Title   | Amount<br>or<br>Number<br>of Shares       |  |
| Class B<br>Common<br>Stock                          | <u>(4)</u>  |   |   |   |   | <u>(4)</u>  | <u>(4)</u>                             | Class A<br>Common<br>Stock                      | 10,497                                    |  |

| Class B<br>Common<br>Stock | <u>(4)</u> | <u>(4)</u> | <u>(4)</u> | Class A<br>Common<br>Stock | 10,756 |
|----------------------------|------------|------------|------------|----------------------------|--------|
| Class B<br>Common<br>Stock | <u>(4)</u> | <u>(4)</u> | <u>(4)</u> | Class A<br>Common<br>Stock | 634    |
| Class B<br>Common<br>Stock | <u>(4)</u> | <u>(4)</u> | <u>(4)</u> | Class A<br>Common<br>Stock | 563    |
| Class B<br>Common<br>Stock | <u>(4)</u> | <u>(4)</u> | <u>(4)</u> | Class A<br>Common<br>Stock | 722    |
| Class B<br>Common<br>Stock | <u>(4)</u> | <u>(4)</u> | <u>(4)</u> | Class A<br>Common<br>Stock | 1,321  |
| Class B<br>Common<br>Stock | <u>(4)</u> | <u>(4)</u> | <u>(4)</u> | Class A<br>Common<br>Stock | 475    |
| Class B<br>Common<br>Stock | <u>(4)</u> | <u>(4)</u> | <u>(4)</u> | Class A<br>Common<br>Stock | 722    |
| Class B<br>Common<br>Stock | <u>(4)</u> | <u>(4)</u> | <u>(4)</u> | Class A<br>Common<br>Stock | 853    |

# **Reporting Owners**

**Reporting Owner Name / Address** 

#### Relationships

Director 10% Owner Officer Other

SEELBACH CHLOE R 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

## **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

04/01/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2019-Mar-28-Weighted Average Share Price represents average price between \$61.97 and \$62.49.
- (3) Reporting Person disclaims beneficial ownership of all such shares.
- (4) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 6