ROCKWELL COLLINS INC

Form 3

February 12, 2015

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement ROCKWELL COLLINS INC [COL] MACLAUCHLAN JEFFREY (Month/Day/Year) D 02/05/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) MS: 124-303, 400 COLLINS (Check all applicable) ROAD N.E. (Street) 6. Individual or Joint/Group Director 10% Owner X_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) _X_ Form filed by One Reporting SR. VP, CORPORATE Person CEDAR RAPIDS. IAÂ 52498 DEVELOPMENT Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 0 D Common Stock 117.1495 (1) Ι By Savings Plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
2. Date Exercisable and General Securities Underlying Conversion Ownership Derivative Security
3. Title and Amount of Securities Underlying Conversion Ownership Overship Form of Conversion Ownership (Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy) (2)	(3)	09/17/2024	Common Stock	10,600	\$ 77.31	D	Â
Stock Option (Right to Buy) (2)	(4)	11/17/2024	Common Stock	10,900	\$ 83.69	D	Â

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

MACLAUCHLAN JEFFREY D

MS: 124-303 400 COLLINS ROAD N.E. CEDAR RAPIDS, IAÂ 52498

Â SR. VP, CORPORATE DEVELOPMENT Â

Signatures

Vaughn M. 02/12/2015 Klopfenstein

**Signature of Reporting Date
Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares represented by Company stock fund units under the Company's tax-qualified savings plan, including shares acquired on a periodic basis pursuant to the Plan, based on information furnished by the Plan Administrator as of February 5, 2015.
- (2) Employee stock options granted pursuant to the Company's stock based plans.
- (3) The options vest in three substantially equal installments beginning September 17, 2015, September 17, 2016 and September 17, 2017.
- (4) The options vest in three substantially equal installments beginning November 17, 2015, November 17, 2016 and November 17, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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