### Edgar Filing: PRUDENTIAL FINANCIAL INC - Form 4

PRUDENTIAL FINANCIAL INC

#### Form 4 December 20, 2002

SEC Form 4

FORM 4	UNITE	D STATES SECURITIES AND EXCHANGE COMMISSION				OMB APPROVAL			
[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	STATEN	Washington, D.C. 20549 IENT OF CHANGES IN BENEFICL Section 16(a) of the Securities Exchange Act Public Utility			FICIAL OWNERSHIP			OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response 0.5	
	Holding Compar	ny Act of 1935	or Section 30(f) of	the Investme	ent Company Act	of 1940			
1. Name and Address of Repor Banta, Vivian L.	2. Issuer Name and Ticker or Trading Symbol				6. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) c/o Prudential Financial, Inc. Compliance 751 Broad Street, 4th floor (Street Newark, NJ 07102-3777 (City) (State)	Prudential Financial, Inc. (PRU) 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)		5. If Amendment, Date of Original (Month/Year)		Filing (	X Officer			
						Joint/0	Group Filin	lg	
	-	sposed of, or I . Transaction Code and Voluntary Code (Instr. 8) Code   V	Amount   Amount   Amount	A/D	5. Amount of Securities Beneficially Owned at End ofMonth (Instr. 3 and 4)	or Ind	)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

(over) SEC 1474 (3-99)

### Banta, Vivian L. - December 2002

# Form 4 (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)									
1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exercise Price of	T	Transaction		Expiration	7. Title and Amount of Underlying Securities	8. Price of Derivative Security	 Owner- ship	<ol> <li>Nature of Indirect Beneficial Ownership</li> </ol>

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	Deri- vative Security	(Month/ Day/ Year)	Voluntary (V) Code (Instr.8)	Acquired (A) or Disposed (D) Of (Instr. 3,4 and 5)	(Month/Day/Year) (DE)   (ED)	(Instr. 3 and 4)	(Instr.5)	at End of Month (Instr.4)	Deriv- ative Security: Direct (D) or Indirect (I)	(Instr.4)
Employee Stock Option (right to buy)	\$32.00	12/18/2002	<b>A</b>	(A) 109,052	(1)   12/18/2012	Common Stock - 109,052		109,052	D	

Page 2 SEC 1474 (3-99)

Explanation of Responses :

\*\* Intentional misstatements or omissions of facts By: /s/ Kathleen M. Gibson

constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

\*\* Signature of Reporting Person Date

**Power of Attorney** 

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient,

See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form

are not

required to respond unless the form displays a currently valid OMB number.

# Banta, Vivian L. - December 2002

### Form 4 (continued)

FOOTNOTE Descriptions for Prudential Financial, Inc. (PRU)

Form 4 - December 2002

Vivian L. Banta c/o Prudential Financial, Inc., Corporate Compliance 751 Broad Street, 4th floor Newark, NJ 07102-3777

Explanation of responses:

(1) The option vests in three equal annual installments beginning on December 18, 2003.