

BOVIE MEDICAL CORP

Form 3

December 23, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Â Sheffield Ian

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

12/13/2013

3. Issuer Name and Ticker or Trading Symbol

BOVIE MEDICAL CORP [BVX]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☒ 10% Owner☐ Officer ☐ Other
(give title below) (specify below)

6. Individual or Joint/Group

Filing(Check Applicable Line)

☐ Form filed by One Reporting Person☒ Form filed by More than One Reporting Person

C.O GREAT POINT

PARTNERS, LLC,Â 165

MASON STREET, 3RD FLOOR

(Street)

GREENWICH,Â CTÂ 06870

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities

Beneficially Owned

(Instr. 4)

3. Ownership

Form: Direct (D)

or Indirect (I)

(Instr. 5)

4. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and

Expiration Date

(Month/Day/Year)

Date

Exercisable

Expiration

Date

3. Title and Amount of

Securities Underlying

Derivative Security

(Instr. 4)

Title

Amount or

Number of

4. Conversion

or Exercise

Price of

Derivative

Security

5. Ownership

Form of

Derivative

Security:

Direct (D)

6. Nature of Indirect

Beneficial Ownership

(Instr. 5)

Edgar Filing: BOVIE MEDICAL CORP - Form 3

				Shares		or Indirect (I) (Instr. 5)	
Series A 6% Convertible Preferred Stock <u>(1)</u>	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock <u>(1)</u>	3,500,000 <u>(1)</u>	\$ 2 <u>(1)</u>	I <u>(1)</u>	See Footnote (2) <u>(2)</u>
Common Stock Warrants (right to buy) <u>(3)</u>	Â <u>(3)</u>	Â <u>(3)</u>	Common Stock <u>(3)</u>	5,250,000 <u>(3)</u>	\$ 2.387 <u>(3)</u>	I <u>(3)</u>	See Footnote (2) <u>(3)</u>

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Sheffield Ian C.O GREAT POINT PARTNERS, LLC 165 MASON STREET, 3RD FLOOR GREENWICH,Â CTÂ 06870	Â X	Â X	Â	Â
GREAT POINT PARTNERS LLC 165 MASON STREET 3RD FLOOR GREENWICH,Â CTÂ 06870	Â	Â X	Â	Â

Signatures

/s/ Jeffrey Jay, Senior Manaing Member, Great Point Partners, LLC 12/23/2013

__Signature of Reporting Person Date

/s/ Ian Sheffield 12/23/2013

__Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The securities will be convertible immediately into common stock (initially on a share for share basis) at any time without expiration as determined by dividing the Stated Value of the Series A 6% Convertible Preferred Stock by the then applicable conversion price.

- (2) The amounts shown represent the beneficial ownership or the issuer's securities by funds and certain managed accounts managed by Great Point Partners, LLC (the "GPP investors"), which may be deemed attributable to Mr. Sheffield because Mr. Sheffield is a Senior Associate of Great Point Partners, LLC. Mr. Sheffield serves as a director or the issuer as a designee of Great Point Partners, LLC acting on behalf of the GPP Investors, and accordingly Great Point Partners, LLC and the GPP Investors may be deemed to be a director by deputation. Great Point Partners, LLC and Mr. Sheffield each disclaim beneficial ownership of the shares except to the extent of their pecuniary interest therein. The amounts shown consist of securities collectively owned by each or Biomedical Value Fund, LP, Biomedical Institutional Value Fund, LP, Biomedical Offshore Value Fund, Ltd., WS Investments II, Class D Series of GEF-PS, LP, and David J. Morrison.

- (3) These securities may be exercisable on June 13, 2014 into shares or common stock and expire on June 13, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.