Edgar Filing: NEUBERGER BERMAN NEW YORK INTERMEDIATE MUNICIPAL FUND INC - Form 3

NEUBERGER BERMAN NEW YORK INTERMEDIATE MUNICIPAL FUND INC

Form 3 July 09, 2014

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement NEUBERGER BERMAN NEW YORK **BANK OF AMERICA CORP** (Month/Day/Year) INTERMEDIATE MUNICIPAL FUND INC [NBW] /DE/ 07/02/2014 5. If Amendment, Date Original (Last) (First) (Middle) 4. Relationship of Reporting Person(s) to Issuer Filed(Month/Day/Year) **BANK OF AMERICA** (Check all applicable) CORPORATE CENTER. 100 N TRYON ST Director __X__ 10% Owner (Street) Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Form filed by One Reporting Person CHARLOTTE, NCÂ 28255 _X_ Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) (Instr. 5) Form: Direct (D) or Indirect (Instr. 5) Variable Rate Municipal Term Preferred 483 $I^{(2)}$ By Subsidiary Shares (1) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security2. Date Exercisable and (Instr. 4)3. Title and Amount of Expiration Date4.5.6. Nature of Indirect Ownership1. Title of Derivative Securities UnderlyingConversion OwnershipDescription DateDescription Date

(Month/Day/Year)		Derivative Security		or Exercise	Form of	(Instr. 5)
		(Instr. 4)		Price of	Derivative	
Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
BANK OF AMERICA CORP /DE/ BANK OF AMERICA CORPORATE CENTER 100 N TRYON ST CHARLOTTE, NC 28255	Â	ÂX	Â	Â	
Banc of America Preferred Funding Corp 214 NORTH TRYON STREET CHARLOTTE, NC 28255	Â	ÂX	Â	Â	
Blue Ridge Investments, L.L.C. 100 NORTH TRYON STREET CHARLOTTE, NC 28255	Â	ÂX	Â	Â	
Signatures					
/s/ Edward Curland (Banc of America Preferred Funding)	07/02/2014				
**Signature of Reporting Person					
/s/ Sun Kyung Bae (Bank of America Corporation	07/02/2014				
**Signature of Reporting Person		Date			
/s/ Edward Curland (Blue Ridge Investments, L.L.C.)		07/02/20	014		
**Signature of Reporting Person		Date			

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This statement is jointly filed by Bank of America Corporation ("Bank of America"), Banc of America Preferred Funding Corporation ("PFC") and Blue Ridge Investments, L.L.C. ("Blue Ridge"). Bank of America holds an indirect interest in the shares listed in Table I (the

- (1) "Shares") by virtue of its indirect 100% ownership of its subsidiaries PFC and Blue Ridge. 141 of the Shares are beneficially owned by PFC and 342 of the Shares are beneficially owned by Blue Ridge. PFC and Blue Ridge are each indirect wholly owned subsidiaries of Bank of America.
 - Each reporting person declares that neither the filing of this statement nor anything herein shall be construed as an admission that such person is, for the purposes of Section 13(d) of the US Securities Exchange Act of 1934 or any other purpose, (i) acting (or has agreed or is
- (2) agreeing to act together with any other person) as a partnership, limited partnership, syndicate, or other group for the purpose of acquiring, holding or disposing of securities of the Issuer or otherwise with respect to the Issuer or any securities of the Issuer or (ii) a member of any group with respect to the Issuer or any securities of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2