#### Edgar Filing: REYNOLDS AMERICAN INC - Form 3

#### REYNOLDS AMERICAN INC

Form 3 June 17, 2015

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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SECURITIES

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement REYNOLDS AMERICAN INC [RAI] Louisville Securities Ltd (Month/Day/Year) 06/12/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) GLOBE HOUSE, 4 TEMPLE (Check all applicable) **PLACE** (Street) 6. Individual or Joint/Group \_X\_\_ 10% Owner Director Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Person LONDON, X0Â WC2R 2PG Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D (1) (2) Â Common Stock 77,680,259 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Title	Security	Direct (D)	

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Date Expiration Amount or or Indirect
Exercisable Date Number of (I)
Shares (Instr. 5)

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Louisville Securities Ltd GLOBE HOUSE, 4 TEMPLE PLACE Â Â X Â Â LONDON, X0Â WC2R 2PG

## **Signatures**

/s/ Robert J. Casey, Director, on behalf of Louisville Securities Limited

06/17/2015

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This Form 3 is being filed by Louisville Securities Limited ("Louisville") with regard to its beneficial ownership of certain shares of common stock, par value \$0.0001 per share, of Reynolds American Inc. (the "Issuer"). Louisville is a wholly owned subsidiary of British-American Tobacco (Holdings) Limited, which is a wholly owned subsidiary of B.A.T. Industries p.l.c., which is a wholly owned

- (1) British-American Tobacco (Holdings) Limited, which is a wholly owned subsidiary of B.A.T. Industries p.E.c., which is a wholly owned subsidiary of British American Tobacco (2009) Limited, which is a wholly owned subsidiary of British American Tobacco p.l.c. ("BAT").
  - Louisville is the record and direct beneficial owner of 77,680,259 shares of common stock of the Issuer (the "Shares"). British-American Tobacco (Holdings) Limited, B.A.T. Industries p.l.c., Weston (2009) Limited, British American Tobacco (2009) Limited, British
- (2) American Tobacco (1998) Limited and BAT may be considered to be indirect beneficial owners of the Shares by virtue of their direct and indirect ownership of all of the equity and voting power of Louisville. BAT has, jointly with Brown & Williamson Holdings, Inc., filed a Form 4 with the Securities and Exchange Commission in relation to its indirect beneficial ownership of the Shares on June 16, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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