Fraccaro Michael Form 4 March 04, 2019

## FORM 4

## **OMB APPROVAL**

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

January 31, Expires: 2005

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Fraccaro Michael |         |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer  |  |  |  |
|--|---------|----------|--|---|--|--|--|
|  |         |          | Mastercard Inc [MA]                                | (Check all applicable)  |  |  |  |
| (Last)   | (First) | (Middle) | 3. Date of Earliest Transaction                    |   |  |  |  |
|  |         |          | (Month/Day/Year)                                   | Director 10% Owner  |  |  |  |
| 2000 PURCHASE STREET                                       |         |          | 02/28/2019   | X Officer (give title Other (speci<br>below)  |  |  |  |
|  |         |          |  | Chief Human Resources Officer   |  |  |  |
| (Street) PURCHASE, NY 10577                                |         |          | 4. If Amendment, Date Original                     | 6. Individual or Joint/Group Filing(Chec  |  |  |  |
|  |         |          | Filed(Month/Day/Year)                              | Applicable Line)  |  |  |  |
|  |         |          |  | _X_ Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person |  |  |  |
| (City)   | (State) | (Zin)    |  |   |  |  |  |

| (City)                               | (State)                                 | Tabl  | le I - Non-I                           | Derivative Securities Acq  | uired, Disposed of   | f, or Beneficiall  | y Owned   |
|--------------------------------------|---|---|--|--|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Class A<br>Common<br>Stock           | 02/28/2019                              |   | F                                      | 762 (1) D \$ 224.66  | 2,317.148  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: Fraccaro Michael - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     |
|---|---|---|---|--|---|--|--------------------|---|-------------------------------------|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Employee<br>Stock<br>Option<br>(right ro<br>buy)    | \$ 227.25   | 03/01/2019                              |   | A                                      | 10,372  | <u>(2)</u>   | 03/01/2029         | Class A<br>Common<br>Stock  | 10,372                              |

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Fraccaro Michael 2000 PURCHASE STREET PURCHASE, NY 10577

Chief Human Resources Officer

## **Signatures**

/s/ Craig Brown, as attorney-in-fact for Michael Fraccaro pursuant to a power of attorney dated July 11, 2016

03/04/2019

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents withholding of shares to pay tax liability incident to the vesting of restricted stock units pursuant to the terms of a stockholder-approved plan.
- (2) The employee stock options vest in four (4) equal annual installments beginning March 1, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2