Miebach Michael Form 3 April 04, 2019

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Mastercard Inc [MA] Miebach Michael (Month/Day/Year) 04/01/2019 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 2000 PURCHASE STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person PURCHASE, NYÂ 10577 (give title below) (specify below) Form filed by More than One Chief Product Officer Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Class A Common Stock D Â 17,781 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	curity 2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			(Instr. 4)		Price of	Derivative	
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

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				Shares		(I) (Instr. 5)	
Employee Stock Option (right ro buy)	(1)	03/01/2025	Class A Common Stock	5,422	\$ 90.13	D	Â
Employee Stock Option (right ro buy)	(2)	03/01/2026	Class A Common Stock	25,230	\$ 90.1	D	Â
Employee Stock Option (right ro buy)	(3)	03/01/2027	Class A Common Stock	47,104	\$ 112.31	D	Â
Employee Stock Option (right ro buy)	(4)	03/01/2028	Class A Common Stock	29,952	\$ 173.49	D	Â
Employee Stock Option (right ro buy)	(5)	03/01/2029	Class A Common Stock	26,400	\$ 227.25	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Miebach Michael 2000 PURCHASE STREET PURCHASE Â NYÂ 10577	Â	Â	Chief Product Officer	Â		

### **Signatures**

Craig Brown, as attorney-in-fact for Michael Miebach, pursuant to a power of attorney dated March 19, 2019

04/04/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person was awarded employee stock options on March 1, 2015, of which 5,422 remain and are fully vested.
- (2) The reporting person was awarded 33,640 employee stock options on March 1, 2016, of which 25,230 have vested. The remaining 8,410 employee stock options will vest on March 1, 2020.
- (3) The reporting person was awarded 47,104 employee stock options on March 1, 2017, of which 23,552 have vested. The remaining 23,552 employee stock options will vest in two equal annual installments beginning on March 1, 2020.
- (4) The reporting person was awarded 29,952 employee stock options on March 1, 2018, of which 7,488 have vested. The remaining 22,464 employee stock options will vest in three equal annual installments beginning on March 1, 2020.
- (5) The reporting person was awarded 26,400 employee stock options on March 1, 2019 which will vest in four (4) equal annual installments beginning March 1, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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