#### Edgar Filing: Barnes Joseph - Form 4

| Barnes Joseph   | h                          |   |   |   |             |                   |              |   |                     |                        |  |  |
|---|----------------------------|---|---|---|-------------|-------------------|--------------|---|---------------------|------------------------|--|--|
| Form 4  |                            |   |   |   |             |                   |              |   |                     |                        |  |  |
| October 17, 2   | 018                        |   |   |   |             |                   |              |   | <u></u>             |                        |  |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION               |                            |   |   |   |             |                   |              | r   | PPROVAL             |                        |  |  |
|   | - UNITED                   | SIAIES                                    |   | hington, ]  |             |                   | NGE          | COMINISSION   | OMB<br>Number:      | 3235-0287              |  |  |
| Check this  |                            |   |   |   |             |                   | Expires:     | January 31,   |                     |                        |  |  |
| if no long<br>subject to  | F CHANGES IN BENEFICIAL OW |   |   |   | LOW         | <b>NERSHIP OF</b> | •            | '. 2005<br>ted average  |                     |                        |  |  |
| Section 16.   |                            |   | SECURITIES  |   |             |                   |              |   | burden hou          |                        |  |  |
| Form 4 or<br>Form 5   |                            |   | ~ • • •   |   | a           | -                 |              |   | response 0.5        |                        |  |  |
| obligation  |                            |   |   |   |             |                   |              | ge Act of 1934,   |                     |                        |  |  |
| may conti   | nue. Section 17(           |   | of the Inv  | •   | <b>.</b>    |                   |              | of 1935 or Sectio   | n                   |                        |  |  |
| See Instru<br>1(b).   | ction                      | 30(II)                                    | of the my   | estinent  | company     | Act               | 01 19        | 40  |                     |                        |  |  |
| 1(0).   |                            |   |   |   |             |                   |              |   |                     |                        |  |  |
| (Print or Type R  | esponses)                  |   |   |   |             |                   |              |   |                     |                        |  |  |
|   | 11 (D)                     | <b>.</b> *                                |   |   |             |                   |              | 5 5 1   |                     |                        |  |  |
| 1. Name and Ad<br>Barnes Josep  | ddress of Reporting        | Person _                                  |   | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol |             |                   |              | 5. Relationship of Reporting Person(s) to<br>Issuer   |                     |                        |  |  |
| Dames Josep   | /11                        |   | •   |   |             |                   |              |   |                     |                        |  |  |
|   |                            |   | GROWLIFE, INC. [PHOT]   |   |             |                   |              | (Check all applicable)  |                     |                        |  |  |
| (Last) (First) (Middle)<br>C/O GROWLIFE, INC., 5400<br>CARILLON POINT |                            |   | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>10/15/2018 |   |             |                   |              | Director  | 100                 | Owner                  |  |  |
|   |                            |   |   |   |             |                   |              | Director 10% Owner<br>X Officer (give title Other (specify<br>below) below)<br>Pres. GrowLife Hydroponics Inc |                     |                        |  |  |
|   |                            |   |   |   |             |                   |              |   |                     |                        |  |  |
|   |                            |   | 4 70 4  | 1   | <u> </u>    |                   |              |   |                     |                        |  |  |
| (Street)  |                            |   | 4. If Amendment, Date Original<br>Filed(Month/Day/Year)           |   |             |                   |              | 6. Individual or Joint/Group Filing(Check   |                     |                        |  |  |
|   |                            |   |   |   |             |                   |              | Applicable Line)<br>_X_ Form filed by One Reporting Person  |                     |                        |  |  |
| KIRKLAND  | , WA 98033                 |   |   |   |             |                   |              | Form filed by M<br>Person   | More than One Re    | eporting               |  |  |
| (City)  | (Stata)                    | (7in)                                     |   |   |             |                   |              |   |                     |                        |  |  |
| (City)  | (State)                    | (Zip)                                     | Table   | e I - Non-De  | erivative S | ecuri             | ties Ac      | quired, Disposed o  | f, or Beneficia     | lly Owned              |  |  |
| 1.Title of  | 2. Transaction Dat         |   |   | 3.  | 4. Securit  |                   |              | 5. Amount of  | 6. Ownership        |                        |  |  |
| Security<br>(Instr. 3)  | (Month/Day/Year)           | any                                       | on Date, if   | Transactio<br>Code                                    | Disposed    |                   |              | Securities<br>Beneficially  | Form: Direct (D) or | Indirect<br>Beneficial |  |  |
|   |                            | /Day/Year) (Instr. 8) (Instr. 3, 4 and 5) |   |   |             | Owned             | Indirect (I) | Ownership   |                     |                        |  |  |
|   |                            |   |   |   |             |                   |              | Following<br>Reported   | (Instr. 4)          | (Instr. 4)             |  |  |
|   |                            |   |   |   |             | (A)               |              | Transaction(s)  |                     |                        |  |  |
|   |                            |   |   | Code V  | Amount      | or<br>(D)         | Price        | (Instr. 3 and 4)  |                     |                        |  |  |
| Common  |                            |   |   |   |             | (_)               |              | 200.000   | D                   |                        |  |  |
| Stock   |                            |   |   |   |             |                   |              | 300,000   | D                   |                        |  |  |
|   |                            |   |   |   |             |                   |              |   |                     |                        |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | TransactiorDerivative<br>Code Securities Acquired |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amoun<br>Underlying Securit<br>(Instr. 3 and 4) |                    |
|---|---|---|---|---------------------------------------|---|-----|--|--------------------|--|--------------------|
|   |   |   |   | Code V                                | (A)   | (D) | Date<br>Exercisable  | Expiration<br>Date | Title  | Amo<br>Num<br>Shar |
| Option to<br>purchase<br>Common<br>Stock            | \$ 0.01 (1)   |   |   |                                       |   |     | 10/10/2014   | 10/09/2019         | Common<br>Stock  | 2,0                |
| Option to<br>purchase<br>Common<br>Stock            | \$ 0.01 (1)   |   |   |                                       |   |     | <u>(2)</u>   | 10/09/2019         | Common<br>Stock  | 6,0                |
| Option to<br>purchase<br>Common<br>Stock            | \$ 0.007  |   |   |                                       |   |     | <u>(3)</u>   | 08/14/2021         | Common<br>Stock  | 10,                |
| Option to<br>purchase<br>Common<br>Stock            | \$ 0.012  | 10/15/2018                              |   | A                                     | 18,000,000  |     | <u>(4)</u>   | 10/14/2023         | Common<br>Stock  | 18,                |

# **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                                |       |  |  |  |
|--|---------------|-----------|--------------------------------|-------|--|--|--|
| 1  | Director      | 10% Owner | Officer                        | Other |  |  |  |
| Barnes Joseph<br>C/O GROWLIFE, INC.<br>5400 CARILLON POINT<br>KIRKLAND, WA 98033 |               |           | Pres. GrowLife Hydroponics Inc |       |  |  |  |
| Signatures   |               |           |                                |       |  |  |  |

# Signatures

/s/ Joseph Barnes <u>\*\*</u>Signature of Reporting Person 10/17/2018 Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On 10-12-2016, the Issuer repriced the Option from a Conversion Price of \$0.05 to \$0.01 per share.

(2) The Date Excercisable varies with the Option vesting dates. Option shares vest monthly over 3 years beginning one month after the 10/10/2014 Vesting Commencement Date.

- (3) The Date Excercisable varies with the Option vesting dates. Option shares vest quarterly over 3 years beginning one quarter after the 08/15/2017 Vesting Commencement Date.
- (4) The Date Excercisable varies with the Option vesting dates. Option shares vest quarterly over 3 years beginning one quarter after the 10/15/2018 Vesting Commencement Date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.