

Elsbernd Brian
Form 3
January 04, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Elsbernd Brian
(Last) (First) (Middle)

355 ALHAMBRA
CIRCLE,Â SUITE 1250

(Street)

CORAL GABLES,Â FLÂ 33134

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
12/27/2018

3. Issuer Name and Ticker or Trading Symbol

CATALYST PHARMACEUTICALS, INC. [CPRX]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

___ Director ___ 10% Owner
X Officer ___ Other
(give title below) (specify below)
Chief Compliance/Legal Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title Amount or Number of Shares

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)
or Indirect (I)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

(Instr. 5)

Options to purchase Common Stock	Â <u>(1)</u>	02/16/2023	Common Stock	65,000	\$ 1.85	D	Â
Options to purchase Common Stock	Â <u>(2)</u>	06/15/2023	Common Stock	40,000	\$ 0.79	D	Â
Options to purchase Common Stock	Â <u>(3)</u>	01/03/2024	Common Stock	50,000	\$ 1.13	D	Â
Options to purchase Common Stock	Â <u>(4)</u>	01/02/2025	Common Stock	60,000	\$ 4.01	D	Â
Options to purchase Common Stock	Â <u>(5)</u>	12/19/2025	Common Stock	225,000	\$ 2.24	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Elsbernd Brian 355 ALHAMBRA CIRCLE SUITE 1250 CORAL GABLES, FL 33134	Â	Â	Â Chief Compliance/Legal Officer	Â

Signatures

/s/ Brian
Elsbernd 01/04/2019

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Options have vested or will vest in three equal tranches on February 16, 2017, 2018 and 2019.

(2) Options vested in two equal tranches on June 16, 2017 and 2018.

(3) Options have vested or will vest in three equal tranches on January 3, 2018, 2019 and 2020.

(4) Options will vest in three equal tranches on January 2, 2019, 2020 and 2021.

(5) Options will vest in three equal tranches on December 19, 2019, 2020 and 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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