Edgar Filing: COMFORT SYSTEMS USA INC - Form 4

COMFORT SYSTEMS USA INC Form 4 November 06, 2013

Stock

November 0	6, 2013										
FORN	4					~~~ .			OMB AF	PROVAL	
	UNITED	STATES			AND EX 1, D.C. 2(NGE CO	OMMISSION	OMB Number:	3235-0287	
Check th if no long subject to Section 1 Form 4 o	F CHAN		I BENEF RITIES	ICIA	ERSHIP OF	OF Expires: Janual Estimated average burden hours per response					
Form 5 obligation may cont <i>See</i> Instru- 1(b).	ns Section 17(a) of the I	Public U	tility Ho		npan	y Act of 1	Act of 1934, 1935 or Section		0.5	
Print or Type I	Responses)										
1. Name and A Murdy Will	Person <u>*</u>						5. Relationship of Reporting Person(s) to Issuer				
			[FIX]					(Check all applicable)			
(Last)	3. Date of Earliest Transaction (Month/Day/Year)					_X_ Director10% Owner Officer (give titleOther (specify below) below)					
	ORT SYSTEMS ERING DRIVE,	,	11/04/2	.013							
	Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
HOUSTON	, TX 77057						Ē	Form filed by Me Person	ore than One Re	porting	
(City)	(State)	(Zip)	Tab	le I - Non	Derivative	Secur	ities Acqui	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deem Execution any (Month/D			n Date, if Transactionor Disposed of (D) Code (Instr. 3, 4 and 5)					Securities Beneficially Owned Following Reported Transaction(s)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common				Code V	Amount	(D)	Price \$	(Instr. 3 and 4)		By Trust	
Stock	11/05/2013			S	4,000	D	19.879 (1)	188,000	Ι	(2) (2)	
Common Stock	11/04/2013			М	10,000	А	\$ 11.94	26,222	D		
Common	11/04/2013			S	10,000	D	\$ 19.78	16,222	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Derivative Expiration Date (Month/Day/Year) Acquired (A) or Disposed of D) Instr. 3, 4,		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Option to Buy	\$ 11.94	11/04/2013		М	10),000	03/28/2010(3)	03/28/2017	Common Stock	10,000

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Murdy William F C/O COMFORT SYSTEMS USA, INC. 675 BERING DRIVE, SUITE 400 HOUSTON, TX 77057	Х						
Signatures							
/s/ Trent T. McKenna, by power of attorney	1	1/06/2013					

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the average price of shares sold; full information regarding the number of shares sold and specific prices will be made available upon request to the Company's Office of the General Counsel.
- (2) Shares held in trust by William F. Murdy Trust and the Mary Murdy Trust, for which the reporting person is trustee.
- (3) Stock options were granted on 3/28/2007, vested equally over a three-year period, and were fully vested on 3/28/2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.