

Virtu Financial, Inc.
Form 4
April 07, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
TJMT Holdings LLC

2. Issuer Name **and** Ticker or Trading
Symbol
Virtu Financial, Inc. [VIRT]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
C/O VIRTU FINANCIAL, INC., 900
3RD AVENUE

3. Date of Earliest Transaction
(Month/Day/Year)
01/10/2017

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☒ Other (specify
below)
See Viola, Vincent J. / See Remarks

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☐ Form filed by One Reporting Person
☒ Form filed by More than One Reporting
Person

NEW YORK, NY 10022

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price			
Class A common stock	01/10/2017		P <u>(1)</u>		1,700	A	\$ 16	509,640	I	See footnote <u>(2)</u>
Class A common stock	01/12/2017		P <u>(1)</u>		7,844	A	\$ 15.9898 <u>(3)</u>	519,228	I	See footnote <u>(2)</u>
Class A common stock	04/05/2017		P <u>(1)</u>		41,584	A	\$ 15.9652 <u>(4)</u>	655,458	I	See footnote <u>(2)</u>
Class A common	04/06/2017		P <u>(1)</u>		50,000	A	\$ 15.8623	705,458	I	See footnote

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stock					(5)			(2)
Class A					\$			See
common	04/07/2017		P ⁽¹⁾	50,000	A	15.7797	755,458	footnote
stock					(6)			(2)
Class A								
common							200,000	D ⁽⁷⁾
stock								
Class A								
common							5,752	D ⁽⁸⁾
stock								

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TJMT Holdings LLC C/O VIRTU FINANCIAL, INC. 900 3RD AVENUE NEW YORK, NY 10022	X	X	See Viola, Vincent J.	See Remarks
Viola Michael T C/O VIRTU FINANCIAL, INC., 900 THIRD AVE NEW YORK, NY 10022	X	X		

Viola Teresa			
C/O VIRTU FINANCIAL, INC.	X	X	See Remarks
900 3RD AVENUE			
NEW YORK, NY 10022			

Viola Vincent J			
900 THIRD AVENUE	X	X	Executive Chairman
NEW YORK, NY 10022-1010			

Signatures

/s/ Justin Waldie, as Attorney-in-Fact for TJMT Holdings LLC	04/07/2017
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__Signature of Reporting Person

Date

/s/ Justin Waldie, as Attorney-in-Fact for Michael Viola	04/07/2017
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__Signature of Reporting Person

Date

/s/ Justin Waldie, as Attorney-in-Fact for Teresa Viola	04/07/2017
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__Signature of Reporting Person

Date

/s/ Justin Waldie, as Attorney-In-Fact for Vincent Viola	04/07/2017
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__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This transaction was effected pursuant to a Rule 10b5-1 Plan adopted by TJMT Holdings LLC on November 10, 2016 which provides for the purchase of up to 1,000,000 shares of Class A Common Stock. Accordingly, the reporting person had no discretion with regard to the timing of the transaction.

(2) Mr. Michael Viola and Mrs. Teresa Viola each have an indirect interest in the securities acquired by TJMT Holdings LLC, a Viola family vehicle over which the reporting persons shares dispositive control and voting control. Mr. Vincent Viola has an indirect interest in the securities acquired by TJMT Holdings LLC by virtue of his spouse's dispositive and voting control over TJMT Holdings LLC.

(3) The price reported in Column 4 is a weighted average price. The shares were purchased in multiple transactions at prices ranging from \$15.95 to \$16.00, inclusive. The reporting person undertakes to provide to the staff of the Securities and Exchange Commission, to any security holder of Virtu Financial, Inc., or to Virtu Financial, Inc., upon request, full information regarding the number of shares purchased at each separate price within the range set forth above.

(4) The price reported in Column 4 is a weighted average price. The shares were purchased in multiple transactions at prices ranging from \$15.90 to \$16.00, inclusive. The reporting person undertakes to provide to the staff of the Securities and Exchange Commission, to any security holder of Virtu Financial, Inc., or to Virtu Financial, Inc., upon request, full information regarding the number of shares purchased at each separate price within the range set forth above.

(5) The price reported in Column 4 is a weighted average price. The shares were purchased in multiple transactions at prices ranging from \$15.70 to \$16.00, inclusive. The reporting person undertakes to provide to the staff of the Securities and Exchange Commission, to any security holder of Virtu Financial, Inc., or to Virtu Financial, Inc., upon request, full information regarding the number of shares purchased at each separate price within the range set forth above.

(6) The price reported in Column 4 is a weighted average price. The shares were purchased in multiple transactions at prices ranging from \$15.65 to \$16.00, inclusive. The reporting person undertakes to provide to the staff of the Securities and Exchange Commission, to any security holder of Virtu Financial, Inc., or to Virtu Financial, Inc., upon request, full information regarding the number of shares purchased at each separate price within the range set forth above.

(7) Directly owned by Mr. Vincent Viola.

(8) Directly owned by Mr. Michael Viola.

Remarks:

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By virtue of relationship with Mr. Vincent Viola, a director of the Issuer, Mrs. Teresa Viola and TJMT Holdings LLC may each

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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