E TRADE GROUP INC

Form 4

January 17, 2003

SEC Form 4

FORM 4

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. 0.5

1. Name and Address of Reporting Person' VanBaelen, Brigitte (Last) (First) (Middle) E*Trade Group, Inc. 4500 Bohannon Dr. (Street) Menlo Park, CA 94025 (City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

E*Trade Group, Inc (ET)

3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)

4. Statement for (Month/Day/Year

01/16/2003

5. If Amendment, Date of Original (Month/Day/Year) 6. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director _ 10% Owner X Officer (give title below) _ Other (specify below)

Description **Chief Community Development Officer**

- 7. Individual or Joint/Group Filing (Check Applicable Line)
- X Form filed by One Reporting Person Form filed by More than One Reporting Person

| | T | able I - Non-Derivat | ive Sec | uriti | es Acquir | ed, Di | sposed | of, or Beneficially | y Owned | | |
|--|---|---|------------------------------|-------|--|--------|--------|--|---|--|--|
| 1. Title of Security (Instr. 3) | 2.Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Cod (Inst | е | 4. Securit n(A) or Dis (Instr. 3 | posed | Of (D) | 5. Amount of Securities Beneficially Owned Following | 6. Owner- ship Form: Direct (D) | 7. Nature of Indirect Beneficial Ownership | |
| | | | Code | V | Amount | A/D | Price | Reported Transaction(s) (Instr. 3 and 4) | or Indirect (I) (Instr. 4) | (Instr. 4) | |
| Common Stock | 01/16/2003 | 01/16/2003 | F | | 6,154 | D | \$5.00 | 171,517 | D | | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | | | ,, | .g., puts, c | ulio, wa | nants, opt | ons, conventible | 3ccurrics) | | | |
|---------------------------------|------------------------------|---------------------------|---|--------------------------|--------------|-------------------------------------|--|------------------------------|--|---|--------------|
| 1. Title of Derivative Security | | 3. Transaction Date | 3A. Deemed Execution | 4. Transactio Code | 5. nNumbe | 6. Date rExercisab and | 7. Title and e(D) of Underlying | 8. Price of Derivative | 9. Number of Derivative Securities | 10. Owner- ship | 11. Na In |
| (Instr. 3 | Price of Derivative Security | (Month/ Day/ Year) | Date, if any (Month/ Day/ Year) | (Instr.8) | | ın Diæts e√ED) ed (Month/ | p8ecurities (Instr. 3 and D4)y/Year) | Security (Instr.5) | Beneficially Owned Following Reported Transaction(s) (Instr.4) | Form of Deriv- ative Securities: Direct (D) or | Or (Ir |

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| | | | | Of (D) (3, 4 and 5) | | r. | | | | |
|--|--|------|---|---------------------|---|----|----|-------|--|--|
| | | Code | ٧ | Α | D | DE | ED | Title | Amount or Number of Shares | |

Explanation of Responses:

By: Date:

/s/ Brigitte VanBaelen, by Russell S. Elmer, her attorney in fact

01/17/2003

** Signature of Reporting Person

SEC 1474 (9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.