Edgar Filing: CAPITAL ONE FINANCIAL CORP - Form 4

CAPITAL ONE FINANCIAL CORP Form 4 June 15, 2007 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).												
(Print or Type Responses)												
FAIRBANK RICHARD D Symbol				r Name and Ticker or Trading AL ONE FINANCIAL CORP				C	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(Last) (First) (Middle) 3. Date of				f Earliest Transaction Day/Year)				X Director 10% Owner X Officer (give title Other (specify			
1680 CAPITAL ONE DRIVE 06/14/2					-				below) below) Chairman, CEO and President			
				ndment, Date Original nth/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tabl	e I - Non	-De	erivative	Secur	ities Acqu	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)		ransaction Date 2A. Deemed nth/Day/Year) Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect	
Common					V	Amount	(D)	Price	(Instr. 3 and 4)			
Stock (1) (2)	06/14/2007			S		300	D	\$ 80.6	2,209,356	D		
Common Stock (1)	06/14/2007			S		400	D	\$ 80.61	2,208,956	D		
Common Stock (1)	06/14/2007			S		100	D	\$ 80.62	2,208,856	D		
Common Stock (1)	06/14/2007			S		100	D	\$ 80.63	2,208,756	D		
Common Stock (1)	06/14/2007			S		200	D	\$ 80.64	2,208,556	D		

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	mmon ck <u>(1)</u>	06/14/2007	S	100	D	\$ 80.68	2,208,456	D
	nmon ck <u>(1)</u>	06/14/2007	S	100	D	\$ 80.69	2,208,356	D
	nmon ck <u>(1)</u>	06/14/2007	S	100	D	\$ 80.7	2,208,256	D
	nmon ck <u>(1)</u>	06/14/2007	S	100	D	\$ 80.72	2,208,156	D
	nmon ck <u>(1)</u>	06/14/2007	S	100	D	\$ 80.78	2,208,056	D
	nmon ck <u>(1)</u>	06/14/2007	S	100	D	\$ 80.8	2,207,956	D
	nmon ck <u>(1)</u>	06/14/2007	S	200	D	\$ 80.81	2,207,756	D
	mmon ck <u>(1)</u>	06/14/2007	S	300	D	\$ 80.82	2,207,456	D
	mmon ck <u>(1)</u>	06/14/2007	S	100	D	\$ 80.83	2,207,356	D
	nmon ck <u>(1)</u>	06/14/2007	S	200	D	\$ 80.86	2,207,156	D
	nmon ck <u>(1)</u>	06/14/2007	S	200	D	\$ 80.87	2,206,956	D
	nmon ck <u>(1)</u>	06/14/2007	S	100	D	\$ 80.89	2,206,856	D
	nmon ck <u>(1)</u>	06/14/2007	S	200	D	\$ 80.9	2,206,656	D
	nmon ck <u>(1)</u>	06/14/2007	S	100	D	\$ 80.91	2,206,556	D
	mmon ck <u>(1)</u>	06/14/2007	S	100	D	\$ 80.92	2,206,456	D
	nmon ck <u>(1)</u>	06/14/2007	S	100	D	\$ 80.95	2,206,356	D
	nmon ck <u>(1)</u>	06/14/2007	S	100	D	\$ 80.99	2,206,256	D
Cor Sto	nmon ck						107,502	I

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

By Fairbank Morris

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
FAIRBANK RICHARD D 1680 CAPITAL ONE DRIVE MCLEAN, VA 22102	Х		Chairman, CEO and President					
Signatures								
/s/ Polly A. Nyquist (POA) on file Fairbank	Э.	06/15/2007						
**Signature of Reporting F		Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was executed pursuant to a trading plan entered into by the Reporting Person on February 1, 2007, in accordance with Rule 10b5-1 under the Securities Exchange Act of 1934, as amended.
- (2) Due to SEC rules limiting the number of non-derivative transactions that can be reported on a single Form 4, this Form 4 is a continuation of the Form 4 filed for the same date listed above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.