

Splain Michael E  
Form 4  
August 03, 2009

# FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Splain Michael E

2. Issuer Name and Ticker or Trading Symbol  
SUN MICROSYSTEMS, INC.  
[JAVA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
4150 NETWORK CIRCLE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
07/30/2009

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

EVP, Microelectronics

SANTA CLARA, CA 95054

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D) Code V Amount (D) Price			
Common Stock	07/30/2009		F	1,180 D \$ 9.23	87,749	D	
Common Stock					10,000	I	Shares held by Michael E. Splain & Donna M. Splain JT/WROS
Common Stock	07/31/2009		F	1,006 D \$ 9.21	86,743 <sup>(1)</sup>	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price or Amount of Derivative Security (Instr. 3)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 65					(2) 06/13/2009		Common Stock	2,500
Employee Stock Option (Right to Buy)	\$ 31.64					(2) 09/27/2009		Common Stock	3,750
Employee Stock Option (Right to Buy)	\$ 50.36					(2) 11/07/2009		Common Stock	6,250
Employee Stock Option (Right to Buy)	\$ 50.36					(2) 11/07/2009		Common Stock	6,250
Employee Stock Option (Right to Buy)	\$ 36.56					(2) 03/19/2010		Common Stock	50
	\$ 36.56					(2) 03/19/2010			3,750

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Employee Stock Option (Right to Buy)				Common Stock	
Employee Stock Option (Right to Buy)	\$ 14.8	<u>(2)</u>	07/25/2010	Common Stock	7,500
Employee Stock Option (Right to Buy)	\$ 14.8	<u>(2)</u>	07/25/2010	Common Stock	11,250
Employee Stock Option (Right to Buy)	\$ 16.8	<u>(2)</u>	05/21/2011	Common Stock	11,250
Employee Stock Option (Right to Buy)	\$ 16.832	<u>(2)</u>	11/13/2011	Common Stock	11,250
Employee Stock Option (Right to Buy)	\$ 15	<u>(2)</u>	05/18/2012	Common Stock	10,000
Employee Stock Option (Right to Buy)	\$ 15.76	<u>(2)</u>	09/17/2012	Common Stock	12,500
Employee Stock Option (Right to Buy)	\$ 18.4	<u>(2)</u>	11/10/2012	Common Stock	5,000
Employee Stock Option (Right to Buy)	\$ 16.48	<u>(2)</u>	01/27/2013	Common Stock	15,000
	\$ 15.4	<u>(2)</u>	07/28/2013		20,750

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Employee Stock Option (Right to Buy)				Common Stock	
Employee Stock Option (Right to Buy)	\$ 21.32	(2)	11/02/2014	Common Stock	17,500
Employee Stock Option (Right to Buy)	\$ 26.56	(2)	01/31/2015	Common Stock	13,125
Employee Stock Option (Right to Buy)	\$ 20	(2)	11/14/2015	Common Stock	15,525

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Splain Michael E 4150 NETWORK CIRCLE SANTA CLARA, CA 95054			EVP, Microelectronics	

## Signatures

/s/ Michael E.  
Splain 08/03/2009

\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This includes 65,025 shares of unvested restricted stock units.
- (2) This option vests and becomes exercisable in five equal annual installments beginning on the first anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.