APPLE ROBERT F

Form 4 May 08, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

**OMB APPROVAL** 

Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

**COMMON** 

**COMMON** 

**STOCK** 

**STOCK** 

05/06/2013

05/06/2013

(Print or Type Responses)

1. Name and A APPLE RO	Symbol		Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer					
		ANTAR	ES PHAI	RMA, INC. [ATRS]	(Check	all applicable)	1		
(Last)	(First) (M	iddle) 3. Date of	Earliest Tra	nsaction					
		(Month/D	ay/Year)		Director	10%	Owner		
C/O ANTAI	05/06/20	)13		_X_ Officer (give title Other (specify					
INC., 100 P	TH,			below) below) Executive Vice President & CFO					
SUITE 300		ŕ			Executive vi	ce riesiueiii &	Cro		
	4. If Amer	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check				
		Filed(Mon	Filed(Month/Day/Year)			Applicable Line)			
					_X_ Form filed by On	1 0			
EWING, NJ				Form filed by More than One Reporting Person					
(City)	(State)	Zip) Table	e I - Non-De	erivative Securities Acq	uired. Disposed of.	or Beneficiall	v Owned		
1 T:41£	2 T			_					
1.Title of Security	2. Transaction Date (Month/Day/Year)	Execution Date, if	3.	4. Securities Acquired ( onor Disposed of (D)	(A) 5. Amount of Securities	6. Ownership	7. Nature of Indirect		
(Instr. 3)	(Monun/Day/Tear)	any	Code	(Instr. 3, 4 and 5)	Beneficially	Form:	Beneficial		
(111341. 5)		(Month/Day/Year)	(Instr. 8)	(msu. 5, 4 and 5)	Owned	Direct (D)	Ownership		
		(ividining uj, i cui)	(1115111 0)		Following	or Indirect	(Instr. 4)		
				(4)	Reported	(I)			
				(A)	Transaction(s)	(Instr. 4)			
				or	(Instr 3 and 4)				

Code V Amount

10,000

10,000 D

 $M_{\underline{-}1}^{(1)}$ 

 $S^{(1)}$ 

(D)

Price

\$ 0.47

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

(Instr. 3 and 4)

311,578

301,578

D

D

#### Edgar Filing: APPLE ROBERT F - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
STOCK OPTION (RIGHT TO BUY)	\$ 0.47	05/06/2013		M <u>(1)</u>	10,000	(2)	11/12/2018	COMMON STOCK	10,000

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

APPLE ROBERT F C/O ANTARES PHARMA, INC. 100 PRINCETON SOUTH, SUITE 300 EWING, NJ 08628

**Executive Vice President & CFO** 

## **Signatures**

Robert F. Apple 05/08/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock option exercises and sales of common stock reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan.
- (2) The options vested in equal quarterly installments over three years following the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2