## Edgar Filing: GRANITE CITY FOOD & BREWERY LTD. - Form 4

GRANITE CITY FOOD & BREWERY LTD. Form 4 December 31, 2013 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Doran Robert J. Issuer Symbol **GRANITE CITY FOOD &** (Check all applicable) BREWERY LTD. [GCFB] (Last) (First) (Middle) 3. Date of Earliest Transaction X Director X\_\_ 10% Owner \_Other (specify X\_Officer (give title (Month/Day/Year) below) below) **1685 HAMPTON COURSE** 12/31/2013 Chief Executive Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting ST. CHARLES, IL 60174 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of 6. Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Ownership Indirect (Instr. 3) anv Code (D) Beneficially Form: Beneficial Direct (D) Ownership (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Following or Indirect (Instr. 4) Reported  $(\mathbf{I})$ (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price By Concept Development Common **J**(1) 12/31/2013 61.014 A \$0 3,606,873 I

Partners LLC (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Stock

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	er Expiration Date Underlyi (Month/Day/Year) (Instr. 3 a ative ities red sed 3,		7. Title and 4 Underlying S (Instr. 3 and	Securities I
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Series A Convertible Preferred Stock	<u>(3)</u>					05/10/2011	<u>(4)</u>	Common Stock	6,000,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1 0	Director	10% Owner	Officer	Other			
Doran Robert J. 1685 HAMPTON COURSE ST. CHARLES, IL 60174	Х	Х	Chief Executive Officer				
Signatures							
/s/ Monica A. Underwood, attorney-in-fact	12/31/2013						
**Signature of Reporting Person	Date						

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On December 31, 2013, the Issuer paid a quarterly dividend in the form of 50% cash and 50% common stock on its outstanding Series A
  (1) Convertible Preferred Stock ("Preferred Stock"), resulting in the issuance of 61,014 shares of common stock to Concept Development Partners LLC, the sole holder of the Issuer's Preferred Stock.
- (2) The reporting person has an indirect pecuniary interest in the shares reported herein.
- (3) Each share of the Preferred Stock is convertible into two shares of common stock.

The Preferred Stock may be converted into common stock of the Issuer at any time prior to December 31, 2014. The Preferred Stock will automatically convert to common stock of the Issuer on the first business day on or after December 31, 2014, on which the average of the

(4) closing sale prices of the Issuer's common stock on the OTCQB (or other principal exchange or market on which the common stock is then traded) for the trading days within the 90 calendar day period ending on the date prior to such first business day is greater than \$4.00 per share (as adjusted for stock splits, distributions or other combinations).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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