### Edgar Filing: APPLE INC - Form 4

APPLE INC Form 4 september 23, 2017 FORM 4 Check this ber subject to subject to subjec										
(Print or Type	Responses)									
1. Name and SEWELL 1	Symbol	I				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last)	(First) (	Middle)	3. Date of Earliest Transaction				(CHECK	x an appreable)		
			(Month/Day/Year)				Director 10% Owner _X Officer (give title Other (specify below) SVP, Gen'l Counsel, Secretary			
				Month/Day/Year) A				<ol> <li>Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>X_ Form filed by One Reporting Person</li> </ol>		
CUPERTI	NO, CA 95014					P	Form filed by Mo Person	ore than One Re	porting	
(City)	(State)	(Zip)	Table I - No	on-Derivativ	e Securi	ties Acqui	red, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if Transactioner Disposed of (D) Code (Instr. 3, 4 and 5)			D) )	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	09/21/2014		М	700,000	) A	<u>(1)</u>	700,459 (2)	D		
Common Stock (3)	09/21/2014		F	351,154	D	\$ 100.96	349,305	D		
Common Stock (4)	09/22/2014		S	348,840	ō D	\$ 101.46 (5)	459	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of<br/>information contained in this form are not<br/>required to respond unless the formSEC 1474<br/>(9-02)

#### Edgar Filing: APPLE INC - Form 4

# displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(1)</u>	09/21/2014		М	700,000	(6)	(6)	Common Stock	700,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
1	Director	10% Owner	Officer	Other				
SEWELL D BRUCE 1 INFINITE LOOP CUPERTINO, CA 95014			SVP, Gen'l Counsel, Secretary					
Signatures								
/s/ Gene Levoff, Attorney-in-fa Sewell	ct for D.	Bruce	09/23/2014					

#### \*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents the right to receive, at settlement, one share of common stock. This transaction represents the settlement of restricted stock units in shares of common stock on their scheduled vesting date.
- The number of securities reported reflects a 7-for-1 stock split effective June 6, 2014, and the acquisition on July 31, 2014 of four shares
  (2) of Apple Inc.'s common stock pursuant to the Apple Inc. Amended Employee Stock Purchase Plan ("ESPP") for the ESPP purchase period of February 1, 2014 through July 31, 2014.

Date

- (3) Shares withheld by Registrant to satisfy minimum statutory tax withholding requirements on vesting of restricted stock units.
- (4) This transaction was made pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on February 25, 2014.
- This transaction was executed in multiple trades at prices ranging from \$100.95 to \$101.85; the price reported above reflects the weighted(5) average sale price. The reporting person hereby undertakes to provide full information regarding the number of shares and prices at which the transactions were effected upon request to the SEC staff, the Registrant, or a security holder of the Registrant.
- (6) This restricted stock unit award was granted on September 21, 2010, and vested in full on September 21, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

### Edgar Filing: APPLE INC - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.