#### Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 4

#### INTERNATIONAL BUSINESS MACHINES CORP

Form 4

January 02, 2015

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

**OMB APPROVAL** 

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* TAUREL SIDNEY

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

INTERNATIONAL BUSINESS

MACHINES CORP [IBM]

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Filed(Month/Day/Year)

12/31/2014

X\_ Director 10% Owner Officer (give title Other (specify below)

**ELI LILLY AND** COMPANY, LILLY CORPORATE

**CENTER** 

(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

INDIANAPOLIS, IN 46285

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

(City)

2. Transaction Date 2A. Deemed (Month/Day/Year)

(State)

Execution Date, if

(Month/Day/Year)

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4) (Instr. 4)

Reported (A) Transaction(s)

Code V Amount (D) Price

(Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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2.	3. Transaction Date	3A. Deemed	4.	5. Nı	ımber	6. Date Exer	cisable and	7. Title and A	Amount of	8. Price
Conversion	(Month/Day/Year)	Execution Date, if	Transact	ionof	onof Expiration Date Underlying Securiti		Securities	Derivati		
or Exercise		any	Code	Deri	vative	(Month/Day	/Year)	(Instr. 3 and	4)	Security
Price of		(Month/Day/Year)	(Instr. 8)	Secu	rities					(Instr. 5
Derivative				Acqı	iired					
Security				(A) c	or					
•				Disp	osed					
				of (Ľ	))					
				(Inst	r. 3, 4,					
				`						
			Code V	<i>I</i> (Δ)	(D)	Date	Expiration	Title	∆ mount	
			Couc	(11)	(D)		*	Title		
						Exercisable	Date			
									Shares	
\$ 0 (1)	12/31/2014		A(2)	421		(3)	(3)	Common Stock	421	\$ 160.
	Conversion or Exercise Price of Derivative Security	Conversion (Month/Day/Year) or Exercise Price of Derivative Security  \$ 0 (1) 12/31/2014	Conversion or Exercise Price of Derivative Security  Society  Execution Date, if any (Month/Day/Year)  Execution Date, if any (Month/Day/Year)	Conversion (Month/Day/Year) Execution Date, if any Code Price of (Month/Day/Year) (Instr. 8)  Derivative Security  Code V	Conversion (Month/Day/Year) Execution Date, if or Exercise any Code Derivative Security (Month/Day/Year) (Instr. 8) Security (A) or Disp of (E) (Instr. 8) Code V (A)	Conversion (Month/Day/Year) Execution Date, if or Exercise any Code Derivative Price of Derivative Security  Execution Date, if Code Derivative (Instr. 8) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)  Code V (A) (D)	Conversion (Month/Day/Year) or Exercise Price of Derivative Security  Execution Date, if any (Month/Day/Year) (Instr. 8) Securities  Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)  Code V (A) (D) Date Exercisable  \$0 (1) 12/31/2014  A(2) 421 (3)	Conversion or Exercise any Code Derivative or Exercise Price of Derivative Security  Execution Date, if any Code Derivative (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  (Instr. 8) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)  Code V (A) (D) Date Expiration Exercisable Date  \$0 (1) 12/31/2014  A(2) 421 (3) (3)	Conversion or Exercise or Exercise any Code Derivative Price of Derivative Security	Conversion or Exercise or Exercise Price of Derivative Security  Execution Date, if any (Month/Day/Year) (Instr. 8) Securities  Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)  Code V (A) (D) Date Expiration Date (Instr. 5) Date Expiration Date (Instr. 6) Date Or Number of Shares  \$0.(1) 12/31/2014  \$2.0 (1) 12/31/2014  Execution Date (Month/Day/Year) (Instr. 3 and 4)  Code V (A) (D) Date Expiration Title Amount Exercisable Date  \$3.0 (1) 12/31/2014  A(2) 421 (3) (3) Common 421

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
TAUREL SIDNEY ELI LILLY AND COMPANY LILLY CORPORATE CENTER INDIANAPOLIS, IN 46285	X						

## **Signatures**

D. Cummins on behalf of S. Taurel

01/02/2015

Date

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Promised Fee Shares under the IBM Board of Directors Deferred Compensation and Equity Award Plan are paid out after retirement in the company's common stock or cash.
- (2) Deferral of fees into Promised Fee Shares under the terms of the IBM Board of Directors Deferred Compensation and Equity Award Plan.
- (3) Distribution of Promised Fee Shares under the IBM Board of Directors Deferred Compensation and Equity Award Plan is deferred until retirement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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