

INTERNATIONAL BUSINESS MACHINES CORP

Form 3

January 02, 2015

**FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â BROWDY MICHELLE H

(Last) (First) (Middle)

IBM CORPORATION,Â ONE  
NEW ORCHARD ROAD

(Street)

ARMONK,Â NYÂ 10504

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)  
01/01/2015

3. Issuer Name and Ticker or Trading Symbol

INTERNATIONAL BUSINESS MACHINES CORP  
[IBM]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer ☐ Other  
(give title below) (specify below)  
Senior Vice President6. Individual or Joint/Group Filing(Check Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities Beneficially Owned  
(Instr. 4)3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock

15,566.321

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and Expiration Date  
(Month/Day/Year)3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)  
Title

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

# Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Rst. Stock Unit	Â (1)	Â (1)	Common Stock	1,600	\$ 0	D	Â
Rst. Stock Unit	Â (2)	Â (2)	Common Stock	327	\$ 0	D	Â
Rst. Stock Unit	Â (3)	Â (3)	Common Stock	720	\$ 0	D	Â
Rst. Stock Unit	Â (4)	Â (4)	Common Stock	3,713	\$ 0	D	Â
Rst. Stock Unit	Â (5)	Â (5)	Common Stock	1,028	\$ 0	D	Â
Rst. Stock Unit	Â (6)	Â (6)	Common Stock	3,969	\$ 0	D	Â
Rst. Stock Unit	Â (7)	Â (7)	Common Stock	1,528	\$ 0	D	Â
Rst. Stock Unit	Â (8)	Â (8)	Common Stock	7,813	\$ 0	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BROWDY MICHELLE H IBM CORPORATION ONE NEW ORCHARD ROAD ARMONK, NY 10504	Â	Â	Â Senior Vice President	Â

## Signatures

D. Cummins on behalf of M. H.  
Browdy

01/02/2015

\_\_Signature of Reporting Person      Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These units are payable in cash or the company's common stock upon lapse of the restrictions on December 22, 2015.
- (2) These units are payable in cash or the company's common stock upon lapse of the restrictions on June 8, 2015.
- (3) Upon lapse of the restrictions, these units are payable in cash or the company's common stock. The restrictions lapse for 359 of these units on June 8, 2015 and the restrictions lapse for the remaining 361 of these units on June 8, 2016.
- (4) These units are payable in cash or the company's common stock upon lapse of the restrictions on December 18, 2017.
- (5)

## Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 3

Upon lapse of the restrictions, these units are payable in cash or the company's common stock. The restrictions lapse for 342 of these units on June 7, 2015, for 342 units on June 7, 2016 and the restrictions lapse for the remaining 344 of these units on June 7, 2017.

- (6) These units are payable in cash or the company's common stock upon lapse of the restrictions on December 17, 2018.

Upon lapse of the restrictions, these units are payable in cash or the company's common stock. The restrictions lapse for 382 of these units on June 9, 2015, for 382 units on June 9, 2016, for 382 of these units on June 9, 2017 and the restrictions lapse for the remaining 382 of these units on June 9, 2018.

- (8) These units are payable in cash or the company's common stock upon lapse of the restrictions on December 22, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.