CAPSTEAD MORTGAGE CORP
Form SC 13G
January 22, 2014

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934

(Amendment No.)

CAPSTEAD MORTGAGE CORPORATION

(Name of Issuer)

7.50% Series E Cumulative Redeemable Preferred Stock

(Title of Class of Securities)

14067E605

(CUSIP Number)

December 31, 2013

(Date of Event Which Required Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

¨ Rule 13d-1(b)

x Rule 13d-1(c)

¨ Rule 13d-1(d)

* The remainder of this cover page shall be filled out for a reporting person s initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 (Act) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No. 14067E605

(1) Names of reporting persons

Ira Sochet

(2) Check the appropriate box if a member of a group (see instructions)

(a) ¨ (b) ¨

(3) SEC use only

(4) Citizenship or place of organization

United States

Number of shares beneficially owned by each reporting person with:	(5) Sole voting power	346,065 (1)
	(6) Shared voting power	0
	(7) Sole dispositive power	346,065 (1)
	(8) Shared dispositive power	0

(9) Aggregate amount beneficially owned by each reporting person

346,065 (1)

(10) Check if the aggregate amount in Row (9) excludes certain shares (see instructions)

(11) Percent of class represented by amount in Row (9)

5.1%

(12) Type of reporting person (see instructions)

IN

(1) Includes shares of 7.50% Series E Cumulative Redeemable Preferred Stock held by Ira Sochet Trust, over which the Reporting Person has sole voting and dispositive control, shares held by Sochet & Company, Inc., an entity owned and controlled by the Reporting Person, and shares held by a trust for the benefit of an adult child of the Reporting Person for which the Reporting Person is the trustee and as such has sole voting and dispositive control.

Item 1(a). Name of Issuer
Capstead Mortgage Corporation

Item 1(b). Address of Issuer s Principal Executive Offices
8401 North Central Expressway, Suite 800

Dallas, Texas 75225

Item 2. Name of Person Filing
Ira Sochet

Item 2(b). Address of Principal Business Office or, if None, Residence
The address of the Reporting Person s principal business office is P.O. Box 398537, Miami Beach, Florida 33239

Item 2(c). Citizenship
United States.

Item 2(d). Title of Class of Securities
7.50% Series E Cumulative Redeemable Preferred Stock.

Item 2(e). CUSIP No.
14067E605.

Item 3. If this statement is filed pursuant to §§240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:
Not applicable.

Item 4. Ownership

Item 4(a). Amount Beneficially Owned:
As of the date hereof, the Reporting Person may be deemed to be the beneficial owner of 346,065 shares of 7.50% Series E Cumulative Redeemable Preferred Stock. The shares of 7.50% Series E Cumulative Redeemable Preferred Stock beneficially owned by the Reporting Person includes shares held by Ira Sochet Trust, over which the Reporting

Person has sole voting and dispositive control, shares held by Sochet & Company, Inc., an entity owned and controlled by the Reporting Person, and shares held by a trust for the benefit of an adult child of the Reporting Person for which the Reporting Person is the trustee and as such has sole voting and dispositive control.

Item 4(b). Percent of Class: 5.1%.

Item 4(c). Number of Shares as to Which the Reporting Person has:

(i)	Sole power to vote or to direct the vote	346,065
(ii)	Shared power to vote or to direct the vote	0
(iii)	Sole power to dispose or to direct the disposition of	346,065
(iv)	Shared power to dispose or to direct the disposition of	0

Item 5. Ownership of 5 Percent or Less of a Class. If this statement is being filed to report the fact that as of the date hereof the Reporting Person has ceased to be the beneficial owner of more than 5 percent of the class of securities, check the following [ ].

Item 6. Ownership of More than 5 Percent on Behalf of Another Person

Not applicable.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company or Control Person

Not applicable.

Item 8. Identification and Classification of Members of the Group

Not applicable.

Item 9. Notice of Dissolution of Group

Not applicable.

Item 10. Certifications

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect, other than activities solely in connection with a nomination under §240.14a-11.

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

January 21, 2014

/s/ Ira Sochet
Ira Sochet