

Calamos Asset Management, Inc. /DE/

Form 8-K

January 28, 2014

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**UNITED STATES**

**SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

**Form 8-K**

**CURRENT REPORT**

**PURSUANT TO SECTION 13 OR 15(D)**

**OF THE SECURITIES EXCHANGE ACT OF 1934**

**DATE OF REPORT (DATE OF EARLIEST EVENT REPORTED): January 28, 2014**

**Calamos Asset Management, Inc.**

**(Exact Name of Registrant as Specified in Charter)**

**Delaware**  
**(State or Other Jurisdiction**  
  
**of Incorporation)**

**0-51003**  
**(Commission**  
  
**File Number)**

**32-0122554**  
**(I.R.S. Employer**  
  
**Identification No.)**

**2020 Calamos Court**

**Naperville, Illinois**  
**(Address of Principal Executive Offices)**

**60563**  
**(Zip Code)**

**REGISTRANT'S TELEPHONE NUMBER, INCLUDING AREA CODE: (630) 245-7200**

**Not Applicable**

**(Former Name and Former Address, if Changed Since Last Report)**

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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**Item 2.02. Results of Operations and Financial Condition.**

On January 28, 2014, Calamos Asset Management, Inc. ( Corporation ) issued a press release reporting results for the fourth quarter and full year 2013. The press release issued by the Corporation is attached as Exhibit 99.1.

**Item 9.01. Financial Statements and Exhibits.**

(d) Exhibits

99.1 Press release issued by the Corporation on January 28, 2014 regarding fourth quarter and full year 2013 results.

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**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CALAMOS ASSET MANAGEMENT, INC.

Date: January 28, 2014

By: /s/ J. Christopher Jackson  
J. Christopher Jackson  
Senior Vice President,

General Counsel and Secretary

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**Exhibit Index**

Exhibit Number	Description
99.1	January 28, 2014 press release issued by the Corporation reporting results for the fourth quarter and full year 2013.