PUMA BIOTECHNOLOGY, INC. Form 8-K April 07, 2014

#### **UNITED STATES**

# SECURITIES AND EXCHANGE COMMISSION

**WASHINGTON, DC 20549** 

#### FORM 8-K

# **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the

**Securities Exchange Act of 1934** 

Date of Report (Date of earliest event reported): April 7, 2014

# PUMA BIOTECHNOLOGY, INC.

(Exact Name of Registrant as Specified in its Charter)

Delaware (State or other jurisdiction

**001-35703** (Commission

77-0683487 (IRS Employer

of incorporation)

File Number)
10880 Wilshire Boulevard, Suite 2150

**Identification No.)** 

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# Los Angeles, California 90024

(Address of principal executive offices) (Zip Code)

(424) 248-6500

(Registrant s telephone number, including area code)

#### N/A

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- "Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### Item 8.01 Other Events.

On April 7, 2014, Puma Biotechnology, Inc. (the Company) issued a press release announcing the presentation of positive results from the Phase II clinical trial of the Company s investigational drug PB272 (neratinib) for the neoadjuvant treatment of breast cancer (I-SPY 2 TRIAL) in an oral presentation at the American Association for Cancer Research (AACR) Annual Meeting 2014 in San Diego, California and scheduling a conference call to be held April 7, 2014 at 2:00 p.m. PDT (5:00 p.m. EDT) to discuss these trial results. A copy of the press release is furnished herewith as Exhibit 99.1 and is incorporated by reference herein.

### Item 9.01 Financial Statements and Exhibits.

(d) Exhibits.

99.1 Press Release dated April 7, 2014

# **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: April 7, 2014

PUMA BIOTECHNOLOGY, INC.

By: /s/ Alan H. Auerbach

Alan H. Auerbach

Chief Executive Officer and President

# EXHIBIT INDEX

# **Exhibit**

No. Description

99.1 Press Release dated April 7, 2014