NASDAQ, INC. Form 8-K October 22, 2015

### **UNITED STATES**

### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### FORM 8-K

# **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): October 22, 2015

Nasdaq, Inc.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction

**000-32651** (Commission

**52-1165937** (I.R.S. Employer

of incorporation)

File Number)

**Identification No.)** 

One Liberty Plaza,

10006

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# New York, New York (Address of principal executive offices) (Zip code) Registrant s telephone number, including area code: +1 212 401 8700

### No change since last report

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- "Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

# Item 2.02. Results of Operations and Financial Condition.

On October 22, 2015, Nasdaq, Inc. (Nasdaq) issued a press release providing financial results for the third quarter of 2015. A copy of the press release is attached as Exhibit 99.1 to this Current Report on Form 8-K and is incorporated herein by reference.

#### Item 7.01. Regulation FD Disclosure.

On October 22, 2015, Nasdaq posted slides to be used in its earnings presentation for the third quarter of 2015 on its website at <a href="http://ir.nasdaq.com/">http://ir.nasdaq.com/</a>.

#### Item 8.01 Other Events.

On October 22, 2015, Nasdaq issued a press release announcing the declaration of a quarterly cash dividend. A copy of the press release is attached as Exhibit 99.2 to this Current Report on Form 8-K and is incorporated herein by reference.

#### Item 9.01. Financial Statements and Exhibits.

(d) Exhibits.

### **Exhibit**

#### **No.** Exhibit Description

- 99.1 Press release dated October 22, 2015 relating to financial results for the third quarter of 2015.
- Press release dated October 22, 2015 relating to the declaration of a quarterly cash dividend. The information set forth under Item 2.02 Results of Operations and Financial Condition and Item 7.01 Regulation FD Disclosure is intended to be furnished pursuant to Item 2.02 and Item 7.01, respectively. Such information, including Exhibit 99.1, shall not be deemed filed for purposes of the Securities Exchange Act of 1934, as amended, nor shall it be deemed incorporated by reference into any of Nasdaq s filings under the Securities Act of 1933, as amended, except as expressly set forth by specific reference in such filing.

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# **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: October 22, 2015 Nasdaq, Inc.

By: /s/ Edward S. Knight

Edward S. Knight
Executive Vice President and General Counsel