BIODELIVERY SCIENCES INTERNATIONAL INC Form 8-K March 06, 2019

## **UNITED STATES**

## SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM 8-K

## **CURRENT REPORT**

**Pursuant to Section 13 or Section 15(d)** 

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): March 6, 2019 (February 28, 2019)

**BioDelivery Sciences International, Inc.** 

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction

**001-31361** (Commission

35-2089858 (IRS Employer

of incorporation) File Number) Identification No.)

## 4131 ParkLake Ave., Suite #225

Raleigh, NC 27612
(Address of principal executive offices) (Zip Code)
Registrant s telephone number, including area code: 919-582-9050

#### **Not Applicable**

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation to the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

# Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

As previously reported by BioDelivery Sciences International, Inc. (the <u>Company</u>) in its Current Report on Form 8-K filed with the Securities and Exchange Commission (the <u>SEC</u>) on October 29, 2018, Ernest De Paolantonio retired from his position as Chief Financial Officer, effective December 31, 2018, and will continue as a senior advisor to the Company until April 30, 2019, at which time his employment with the Company will end (the <u>Retirement Date</u>).

On February 28, 2019, Mr. De Paolantonio was awarded 25,000 restricted stock units (the <u>RSU</u>s ). The RSUs will vest on the Retirement Date.

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

March 6, 2019 BIODELIVERY SCIENCES INTERNATIONAL, INC.

By: /s/ Mary Theresa Coelho Name: Mary Theresa Coelho

Title: Chief Financial Officer and Treasurer