

ALTIRIS INC
Form 4
April 11, 2007

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
BUTTERFIELD GREGORY S

(Last) (First) (Middle)

**C/O ALTIRIS, INC., 588 WEST 400
SOUTH**

(Street)

LINDON, UT 84042

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

ALTIRIS INC [ATRS]

3. Date of Earliest Transaction
(Month/Day/Year)

04/05/2007

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

Chairman, CEO and President

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/05/2007		F	8,565 D	\$ 32.98	49,060 ⁽¹⁾	D
Common Stock	04/06/2007		D	49,060 ⁽¹⁰⁾ D	\$ 33 ⁽²⁾	0	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
displays a currently valid OMB control
number.**

SEC 1474
(9-02)

Edgar Filing: ALTIRIS INC - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable Expiration Date	Title	
Option to buy Common Stock	\$ 22.53	04/06/2007		D	25,000	(3) 07/20/2014	Common Stock	25,000
Option to buy Common Stock	\$ 0.05	04/06/2007		D	12,000	(4) 02/14/2010	Common Stock	12,000
Option to buy Common Stock	\$ 13.08	04/06/2007		D	30,000	(5) 01/28/2013	Common Stock	30,000
Option to buy Common Stock	\$ 7.5	04/06/2007		D	37,500	(6) 02/22/2012	Common Stock	37,500
Option to buy Common Stock	\$ 20.48	04/06/2007		D	30,000	(7) 08/03/2016	Common Stock	30,000
Option to buy Common Stock	\$ 18.9	04/06/2007		D	20,000	(8) 05/18/2015	Common Stock	20,000
Option to buy Common Stock	\$ 17.55	04/06/2007		D	12,500	(9) 02/08/2016	Common Stock	12,500

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other

BUTTERFIELD GREGORY S
C/O ALTIRIS, INC.
588 WEST 400 SOUTH
LINDON, UT 84042

X

Chairman, CEO and President

Signatures

/s/ Craig Christensen,
attorney-in-fact

04/10/2007

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 253 shares purchased through Employee Stock Purchase plan.
- (2) Disposed of pursuant to a merger agreement between issuer and Symantec in exchange for a cash payment of \$33.00 per share.
- (3) This option which became fully vested as of February 24, 2005, was assumed by Symantec in the merger and replaced with an option to purchase 47,687 shares of Symantec common stock for \$11.81 per share.
- (4) This option which became fully vested on February 14, 2006, was assumed by Symantec in the merger and replaced with an option to purchase 22,890 shares of Symantec common stock for \$0.03 per share.
- (5) This option which became fully vested on January 28, 2007, was assumed by Symantec in the merger and replaced with an option to purchase 57,225 shares of Symantec common stock for \$6.86 per share.
- (6) This option, which provided for vesting as of 1/4 on February 22, 2003 and 1/48 shares subject to the option per month thereafter, was assumed by Symantec in the merger and replaced with an option to purchase 71,531 shares of Symantec common stock for \$3.93 per share.
- (7) This option, which provided for vesting in three equal annual installments beginning August 3, 2007, was assumed by Symantec in the merger and replaced with an option to purchase 57,225 shares of Symantec common stock for \$10.74 per share.
- (8) This option, which provided for vesting in three equal annual installments beginning May 18, 2005, was assumed by Symantec in the merger and replaced with an option to purchase 38,150 shares of Symantec common stock for \$9.91 per share.
- (9) This option, which provided for vesting in three equal annual installments beginning February 8, 2007, was assumed by Symantec in the merger and replaced with an option to purchase 23,843 shares of Symantec common stock for \$9.20 per share.
- (10) This includes 15,000 restricted stock units. Each restricted stock unit represents a contingent right to receive one share of Altiris common stock. Each restricted stock unit was assumed by Symantec in the merger and replaced with the right to receive one share of Symantec common stock at the exchange ratio of 1.9075145 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.