Groves Vaughn R Form 4 August 04, 2009

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Section 16.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * Groves Vaughn R

(Last) (First) (Middle)

ONE ALPHA PLACE, P.O. BOX 2345

(State)

07/31/2009

(Street)

(City)

Common

Stock

ABINGDON, VA 24212

2. Issuer Name and Ticker or Trading

Symbol

Alpha Natural Resources, Inc./Old [ANR]

3. Date of Earliest Transaction

(Month/Day/Year) 07/31/2009

4. If Amendment, Date Original

Code V

D

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner X_ Officer (give title Other (specify below)

Senior VP, GC and Secretary

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Zip)

(Month/Day/Year)

3. Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5) (Instr. 8)

(1)

4. Securities Acquired 5. Amount of

Securities Beneficially Owned Following Reported Transaction(s) 6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect (I) (Instr. 4)

Beneficial Ownership (Instr. 4)

or (Instr. 3 and 4) (D) Price Amount

(A)

47,936 D <u>(2)</u>

0 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Groves Vaughn R - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day	Pate	7. Title and 2. Underlying \$ (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 12.73	07/31/2009		D	12,568	(3)	11/10/2014	Common Stock	12,568
Employee Stock Option (right to buy)	\$ 19	07/31/2009		D	32,000	<u>(4)</u>	02/14/2015	Common Stock	32,000

Reporting Owners

Reporting Owner Name / Address	Relationships
Reporting Owner Name / Address	

Director 10% Owner Officer Other

Groves Vaughn R ONE ALPHA PLACE P.O. BOX 2345 ABINGDON, VA 24212

Senior VP, GC and Secretary

Signatures

M. Robert Morrill, Attorney-in-Fact for Vaughn R. Groves

08/04/2009

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This includes 35,418 shares of restricted stock.
 - Disposed of pursuant to the Agreement and Plan of Merger between Alpha Natural Resources, Inc. ("Alpha") and Foundation Coal Holdings, Inc. (which was renamed Alpha Natural Resources, Inc. in connection with the merger, "New Alpha"), dated May 11, 2009, in
- (2) exchange for 12,518 shares of New Alpha common stock and 35,418 shares of New Alpha restricted stock, which restricted stock awards are subject to the same terms and conditions as their predecessor awards. On the effective date of the merger, the closing price of Alpha common stock was \$33.31 per share, and the closing price of Foundation Coal Holdings, Inc. common stock was \$35.93 per share.
- (3) This option, which provided for vesting of the 31,420 shares of Alpha common stock originally subject thereto in five equal annual installments beginning November 10, 2005, was assumed by New Alpha in the merger and replaced with an option to purchase 12,568 shares of New Alpha common stock (the number of shares which remained unexercised under the original option) with an exercise price

Reporting Owners 2

Edgar Filing: Groves Vaughn R - Form 4

of \$12.73 per share.

This option, which provided for vesting of the 40,000 shares of Alpha common stock originally subject thereto in five equal annual installments beginning February 14, 2006, was assumed by New Alpha in the merger and replaced with an option to purchase 32,000 shares of New Alpha common stock (the number of shares which remained unexercised under the original option) with an exercise price of \$19.00 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.