

YUREK GREGORY J

Form 4

January 04, 2010

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
YUREK GREGORY J

2. Issuer Name **and** Ticker or Trading
Symbol

AMERICAN SUPERCONDUCTOR
CORP /DE/ [AMSC]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
12/30/2009

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Chairman, President and CEO

C/O AMERICAN
SUPERCONDUCTOR
CORPORATION, 64 JACKSON
ROAD

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

DEVENS, MA 01434

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/30/2009		M	7,975	A \$ 32.563	185,115	D
Common Stock	01/04/2010		M	117,025	A \$ 32.563	302,140	D
Common Stock	12/30/2009		S ⁽¹⁾	7,975	D \$ 42.05	294,165	D
Common Stock	01/04/2010		S ⁽¹⁾	100	D \$ 42.25	294,065	D

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Common Stock	01/04/2010	S ⁽¹⁾	200	D	\$ 42.24	293,865	D	
Common Stock	01/04/2010	S ⁽¹⁾	400	D	\$ 42.23	293,465	D	
Common Stock	01/04/2010	S ⁽¹⁾	472	D	\$ 42.22	292,993	D	
Common Stock	01/04/2010	S ⁽¹⁾	428	D	\$ 42.21	292,565	D	
Common Stock	01/04/2010	S ⁽¹⁾	200	D	\$ 42.18	292,365	D	
Common Stock	01/04/2010	S ⁽¹⁾	394	D	\$ 42.13	291,971	D	
Common Stock	01/04/2010	S ⁽¹⁾	600	D	\$ 42.12	291,371	D	
Common Stock	01/04/2010	S ⁽¹⁾	350	D	\$ 42.11	291,021	D	
Common Stock	01/04/2010	S ⁽¹⁾	2,550	D	\$ 42.1	288,471	D	
Common Stock	01/04/2010	S ⁽¹⁾	2,762	D	\$ 42.09	285,709	D	
Common Stock	01/04/2010	S ⁽¹⁾	2,400	D	\$ 42.08	283,309	D	
Common Stock	01/04/2010	S ⁽¹⁾	2,346	D	\$ 42.07	280,963	D	
Common Stock	01/04/2010	S ⁽¹⁾	1,352	D	\$ 42.06	279,611	D	
Common Stock	01/04/2010	S ⁽¹⁾	102,471	D	\$ 42.05	177,140 ⁽²⁾	D	
Common Stock						752 ⁽³⁾	I	By 401(K) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)						
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to buy)	\$ 32.563	12/30/2009	M			7,975	<u>(4)</u>	07/28/2010	Common Stock	7,975
Stock Option (Right to buy)	\$ 32.563	01/04/2010	M			117,025	<u>(4)</u>	07/28/2010	Common Stock	117,025

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
YUREK GREGORY J C/O AMERICAN SUPERCONDUCTOR CORPORATION 64 JACKSON ROAD DEVENS, MA 01434	X		Chairman, President and CEO	

Signatures

/s/ Gregory J. Yurek 01/04/2010

 **Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock option exercise and sales reported on this Form 4 were effected pursuant to Rule 10b5-1 Plan.
- (2) Following all the transactions reported on this Form 4, the reporting person holds 177,140 shares directly.
- (3) Following all the transactions reported on this Form 4, the reporting person holds 752 shares indirectly through the company's 401(k) plan as of December 31, 2009.
- (4) The option was fully vested as of July 28, 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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