Edgar Filing: Jones Christopher L. - Form 4

Jones Christ	topher L.											
Form 4	011											
March 09, 2 FORN										PROVAL 3235-0287		
if no lon subject t Section Form 4 e Form 5 obligatio may con <i>See</i> Instr 1(b).	section 17(FATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES iled pursuant to Section 16(a) of the Securities Exchange Act of 1934, tion 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								January 31, 2005 verage 's per 0.5		
(Print or Type	Responses)											
1. Name and Address of Reporting Person <u>*</u> Jones Christopher L.			8]	5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (I	Middle)		of Earliest T	_		-	(Check	all applicable))		
(N				(J, J) (J) (G) (Z, V) = 1				Director 10% Owner _X Officer (give title Other (specify below) EVP & Chief Investment Officer				
				(Month/Day/Year) A				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
PALO ALT	TO, CA 94303						-	Form filed by Mo Person	ore than One Rep	porting		
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Secur	ities Acqu	ired, Disposed of,	or Beneficiall	y Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution 1 any (Month/Da	Date, if	3. Transactio Code (Instr. 8) Code V		ed of (5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	03/08/2011	03/08/20	11	S <u>(1)</u>	30,033	D	φ 24.6737 (2)	213,086	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Jones Christopher L. 1804 EMBARCADERO ROAD PALO ALTO, CA 94303			EVP & Chief Investment Officer					
Signatures								
Joanne E. Burns,	02	/00/ 2 011						

Attorney-in-Fact 03/09/2011

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on November 17, 2010.

The price reported in Col 4 is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$24.59 to \$24.77, inclusive. The reporting person undertakes to provide, upon request, Financial Engines, Inc., any shareholder thereof, or

(2) \$24.55 to \$24.77, inclusive. The reporting person undertakes to provide, upon request, rinancial Engines, inc., any shareholder thereof, of the staff of the Securities and Exchange Commission, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.