### Edgar Filing: SMITH & WESSON HOLDING CORP - Form 4

#### SMITH & WESSON HOLDING CORP

Form 4 June 04, 2014

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person \* Cicero Robert J.

(First)

(State)

2100 ROOSEVELT AVENUE

2. Issuer Name and Ticker or Trading

Symbol

SMITH & WESSON HOLDING

CORP [SWHC]

3. Date of Earliest Transaction

(Month/Day/Year) 06/02/2014

(Street) 4. If Amendment, Date Original

(Middle)

(Zip)

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify

below) below) See Remarks

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

SPRINGFIELD, MA 01104

1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Form: Direct Indirect (Instr. 3) Code (D) Beneficially (D) or Beneficial Indirect (I) Ownership (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Following (Instr. 4) (Instr. 4) Reported

(A) Transaction(s) or (Instr. 3 and 4)

Code V Amount (D) Price

Common 06/02/2014 F 678 <sup>(1)</sup> D 42,662 D 16.3 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exer | cisable and | 7. Title  | and      | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------|------------|--------------|-------------|-----------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | orNumber   | Expiration D | ate         | Amour     | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code        | of         | (Month/Day/  | Year)       | Underl    | ying     | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative | e            |             | Securit   | ies      | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |             | Securities |              |             | (Instr. : | 3 and 4) |             | Owne   |
|             | Security    |                     |                    |             | Acquired   |              |             |           |          |             | Follo  |
|             | ·           |                     |                    |             | (A) or     |              |             |           |          |             | Repo   |
|             |             |                     |                    |             | Disposed   |              |             |           |          |             | Trans  |
|             |             |                     |                    |             | of (D)     |              |             |           |          |             | (Instr |
|             |             |                     |                    |             | (Instr. 3, |              |             |           |          |             |        |
|             |             |                     |                    |             | 4, and 5)  |              |             |           |          |             |        |
|             |             |                     |                    |             |            |              |             |           | A        |             |        |
|             |             |                     |                    |             |            |              |             |           | Amount   |             |        |
|             |             |                     |                    |             |            | Date         | Expiration  |           | or       |             |        |
|             |             |                     |                    |             |            | Exercisable  | ble Date    |           |          |             |        |
|             |             |                     |                    | ~           |            |              |             |           | of       |             |        |
|             |             |                     |                    | Code V      | (A) (D)    |              |             |           | Shares   |             |        |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |             |         |       |  |  |  |
|--------------------------------|---------------|-------------|---------|-------|--|--|--|
| Transfer and the same          | Director      | 10% Owner   | Officer | Other |  |  |  |
| Cicero Robert J.               |               |             |         |       |  |  |  |
| 2100 ROOSEVELT AVENUE          |               | See Remarks |         |       |  |  |  |
| SPRINGFIELD, MA 01104          |               |             |         |       |  |  |  |

### **Signatures**

Robert J. Cicero 06/03/2014

\*\*Signature of Date Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of common stock withheld by the Issuer to satisfy certain tax withholding obligations associated with the vesting of restricted stock units.

#### **Remarks:**

The Reporting Person is the Vice President, General Counsel, Chief Compliance Officer, and Secretary.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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