**ADTRAN INC** Form 4

February 23, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

(Print or Type Responses)

1. Name and Address of Reporting Person \* Walker Kathryn A

(First) (Last)

(Middle)

901 EXPLORER BLVD.

(Street)

HUNTSVILLE, AL 35806

1.Title of

Security

(Instr. 3)

2. Transaction Date 2A. Deemed

4. If Amendment, Date Original

ADTRAN INC [ADTN]

3. Date of Earliest Transaction

Filed(Month/Day/Year)

(Month/Day/Year)

02/19/2015

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

X Director 10% Owner Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(I)

(Instr. 4)

6. Ownership

Form: Direct

(D) or Indirect Beneficial

Person

5. Amount of

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or

(Month/Day/Year)

Code (Instr. 8)

(Instr. 3, 4 and 5)

Code V Amount (D) Price

Disposed of (D)

(A)

or

Securities Beneficially Owned Following

Reported Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**SEC 1474** (9-02)

7. Nature of

Ownership

(Instr. 4)

Indirect

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise (Instr. 3) Price of

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if (Month/Day/Year) 4 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A)

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of 8. **Underlying Securities** (Instr. 3 and 4)

1

Se

 $(I_1)$ 

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Derivative or Disposed of Security (D) (Instr. 3, 4, and 5) Code V (A)

Expiration Title (D) Date Amount Exercisable Date or Number of Shares

Dividend

Common Equivalent <u>(1)</u> 02/19/2015 Α 21.926 (1) (1) 21.926 Stock

Rights

# **Reporting Owners**

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other Walker Kathryn A X 901 EXPLORER BLVD. **HUNTSVILLE, AL 35806** 

# **Signatures**

Gayle S. Ellis by Power of 02/23/2015 Attorney

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The dividend equivalent rights accrued when and as dividends were paid on the Company's common stock. Each dividend equivalent right is the economic equivalent of one share of the Company's common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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