Edgar Filing: Atara Biotherapeutics, Inc. - Form 4

Atara Biotherapeutics, Inc. Form 4 July 20, 2015 OMB A FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB A Check this box if no longer subject to Section 16. Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES MB Number: 3235-0287 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Filed Pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1940 Image: Securities Exchange Act of 1934, Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1940											
1(b). (Print or Type Responses)											
Ciechanover Isaac E. Symbol				er Name and Ticker or Trading Biotherapeutics, Inc. [ATRA]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
				of Earliest Transaction /Day/Year) /2015				X Director 10% Owner X Officer (give title Other (specify below) below) Chief Executive Officer			
				endment, Date Original nth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Aca	uired, Disposed of	. or Beneficial	lv Owned	
1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	ear) Execution any	med	3. 4. Securities Acquired e, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) ear) (Instr. 8) (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of		
Common Stock	07/16/2015			S <u>(1)</u>	1,710	(D) D	\$ 60.9 (2)	388,872	I	See Footnote	
Common Stock	07/16/2015			S <u>(1)</u>	690	D	\$ 61.7 (4)	388,182	I	See Footnote	
Common Stock	07/16/2015			S <u>(1)</u>	200	D	\$ 62.9 (5)	387,982	I	See Footnote	

S(1)

1,800 D \$59.9 386,182

Ι

Common

07/17/2015

See

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Stock					(6)			Footnote (3)
Common Stock	07/17/2015	S <u>(1)</u>	800	D	\$ 60.71	385,382	Ι	See Footnote (3)
Common Stock						488,653	Ι	See Footnote
Common Stock						180,000	Ι	See Footnote (9)
Common Stock						35,625	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amoun	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	/Year)	Underly	ying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ies	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title I	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
F	Director	10% Owner	Officer	Other				
Ciechanover Isaac E. 701 GATEWAY BOULEVARD SUITE 200 SOUTH SAN FRANCISCO, CA 94080	Х		Chief Executive Officer					

Signatures

/s/ Tina Gullotta, Attorney-in-Fact for Isaac E. Ciechanover

**Signature of Reporting Person

07/20/2015 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction pursuant to Rule 10b5-1 Plan adopted December 12, 2014.
- The price in Column 4 is a weighted average sale price. The prices actually received ranged from \$60.43 to \$61.38. The reporting person(2) will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
- (3) Shares are held by the Isaac E. Ciechanover and Allison M. Ciechanover Family Trust dated 8/8/08, of which the Reporting Person is a trustee.
- The price in Column 4 is a weighted average sale price. The prices actually received ranged from \$61.45 to \$62.00. The reporting person(4) will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
- The price in Column 4 is a weighted average sale price. The prices actually received ranged from \$62.83 to \$62.97. The reporting person(5) will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
- The price in Column 4 is a weighted average sale price. The prices actually received ranged from \$59.47 to \$60.45. The reporting person(6) will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
- The price in Column 4 is a weighted average sale price. The prices actually received ranged from \$60.55 to \$61.20. The reporting person(7) will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
- (8) Sheld are held by the Ciechanover Family GRAT, of which the Reporting Person is a trustee.
- (9) Sheld are held by the The Ciechanover 2015 GRAT, of which the Reporting Person is a trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.