#### Edgar Filing: SMITH & WESSON HOLDING CORP - Form 4

#### SMITH & WESSON HOLDING CORP

Form 4

December 24, 2015

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### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287 January 31,

Check this box if no longer subject to

Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Expires: 2005 Estimated average burden hours per

**OMB APPROVAL** 

response...

0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* MONHEIT BARRY M

(Middle)

5. Relationship of Reporting Person(s) to Issuer

Symbol

**SMITH & WESSON HOLDING** CORP [SWHC]

2. Issuer Name and Ticker or Trading

(Check all applicable)

(Last) (First)

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director 10% Owner \_ Other (specify Officer (give title

2100 ROOSEVELT AVENUE

12/23/2015

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

(Street)

SPRINGFIELD, MA 01104

| (State)                                 | (Zip) Tab                                                    | ole I - Non-                                                                                                                  | Derivative Se                        | ecurit                                                                                                                                                                                       | ties Acquir                                                                                                                                                 | red, Disposed of,                                                                                                                                                                                          | or Beneficiall                                           | y Owned                                                           |
|-----------------------------------------|--------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------------------|
| 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)  | 3.<br>Transactic<br>Code<br>(Instr. 8)                                                                                        | Omr Disposed (Instr. 3, 4 and 1)     | of (D<br>and 5)<br>(A)<br>or                                                                                                                                                                 | <b>D</b> )                                                                                                                                                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)                                                                                         | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| 12/23/2015                              |                                                              | M                                                                                                                             | 10,000 A                             | <b>A</b> 5                                                                                                                                                                                   | \$ 5.79                                                                                                                                                     | 16,802                                                                                                                                                                                                     | D                                                        |                                                                   |
| 12/23/2015                              |                                                              | S(1)                                                                                                                          | 10,000 D                             | ) 2                                                                                                                                                                                          | 22.0155                                                                                                                                                     | 6,802                                                                                                                                                                                                      | D                                                        |                                                                   |
| 12/23/2015                              |                                                              | S <u>(1)</u>                                                                                                                  | 20,000 D                             | ) 2                                                                                                                                                                                          | 22.0171                                                                                                                                                     | 59,334 (3)                                                                                                                                                                                                 | I                                                        | By Trust                                                          |
|                                         | 2. Transaction Date (Month/Day/Year)  12/23/2015  12/23/2015 | 2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Deemed Execution Date, if any (Month/Day/Year) | 2. Transaction Date (Month/Day/Year) | 2. Transaction Date 2A. Deemed 3. 4. Securities (Month/Day/Year) Execution Date, if any Code (Instr. 3, 4 a (Month/Day/Year)) (Instr. 8)  Code V Amount M 10,000 A 12/23/2015  S(1) 10,000 I | 2. Transaction Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Instr. 8)    Code   (Instr. 3, 4 and 5) (Instr. 8)    Code   V   Amount   (D) | 2. Transaction Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) (Instr. 8)  Code V Amount (D) Price  12/23/2015  M 10,000 A \$5.79  12/23/2015  S(1) 10,000 D 22.0155 (2) \$ | 2. Transaction Date (Month/Day/Year)                     | 2. Transaction Date (Month/Day/Year)                              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, | 6. Date Exerc<br>Expiration D<br>(Month/Day/ | ate                | 7. Title and A Underlying S (Instr. 3 and | Securities                          | }<br>: |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|----------------------------------------|------------------------------------------------------------------------------------|----------------------------------------------|--------------------|-------------------------------------------|-------------------------------------|--------|
|                                                     |                                                                       |                                         |                                                             | Code V                                 | and 5) (A) (D)                                                                     | Date<br>Exercisable                          | Expiration<br>Date | Title                                     | Amount<br>or<br>Number<br>of Shares |        |
| Director<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 5.79                                                               | 12/23/2015                              |                                                             | M                                      | 10,000                                                                             | <u>(5)</u>                                   | 09/14/2019         | Common<br>Stock                           | 10,000                              |        |

## **Reporting Owners**

| Reporting Owner Name / Address                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Relationships |           |         |       |  |  |  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|-----------|---------|-------|--|--|--|
| Treporting of the France of th | Director      | 10% Owner | Officer | Other |  |  |  |
| MONHEIT BARRY M<br>2100 ROOSEVELT AVENUE<br>SPRINGFIELD, MA 01104                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | X             |           |         |       |  |  |  |

### **Signatures**

Robert J. Cicero, as Attorney-in-Fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- $(1) \quad \text{The shares were sold pursuant to a 10b5-1 Trading Plan dated December 16, 2015}. \\$
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$21.77 to \$22.30, inclusive. The reporting person undertakes to provide to Smith & Wesson Holding Corporation (the "Company"), any security
- holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (3) Includes 12,534 shares previously reported as being held directly by the reporting person.
- (4) The shares are held by Barry M. Monheit, Trustee, SEP PROP Monheit Family Trust U/A Dtd 7/16/2002.
- (5) 1/12th of the total number of options granted vested and became exercisable each month after the September 14, 2009 date of grant.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.