American Water Works Company, Inc.

Form 4

January 25, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

3235-0287 Number: January 31, Expires:

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

1(b).

Common

Common

Stock

Stock

01/22/2016

01/22/2016

(Print or Type Responses)

1 Name and Address of Departing De

See Instruction

1. Name and Address of Reporting Person STORY SUSAN N			Symbol	er Name <b>and</b> Ticker can Water Work WK]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
	(Last) 1025 LAUR	(First) (N	(Month/	of Earliest Transacti Day/Year) 2016	on	X Director X Officer (give below)		Owner r (specify	
(Street) VOORHEES, NJ 08043				4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
	(City)	(State)	(Zip) Tak	ole I - Non-Derivati	ve Securities Acq	quired, Disposed of	, or Beneficiall	y Owned	
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	e 2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction(A) or Code (Instr.	(A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Common Stock	01/22/2016		M 10,55	9 A \$0	48,339	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

A

F

8,219

7,554

\$0

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56,558

49,004

D

D

### Edgar Filing: American Water Works Company, Inc. - Form 4

### number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of sorDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Ar Underlying Se (Instr. 3 and 4)
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Performance Stock Units	(1)	01/22/2016		A	4,525 (2)		<u>(1)</u>	12/31/2015(3)	Common Stock
Performance Stock Units	<u>(1)</u>	01/22/2016		M		10,559 (4)	<u>(1)</u>	12/31/2015 <u>(3)</u>	Common Stock

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
STORY SUSAN N 1025 LAUREL OAK ROAD VOORHEES, NJ 08043	X		President and CEO				

# **Signatures**

/s/ Jeffrey M. Taylor, as attorney-in-fact for Susan N.

Story

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each performance stock unit represented a contingent right to receive one share of common stock of American Water Works Company,
- (1) Inc. (the "Company"), based on the Company's annual relative total stockholder return over a three-year performance period, beginning on January 1, 2013 and ending December 31, 2015.
- (2) Represents the above-target earned portion of the TSR performance stock unit. In 2013, the Company granted 6,034 performance stock units, equal to the number of shares to be received if target performance was achieved.
- (3) Represents the end of the performance period to which the performance stock units relate.
- (4) Represents the earned portion of the TSR performance stock units, including target units granted in 2013 and above-target units earned based on TSR performance. The number of TSR performance stock units earned was 175 percent of the target.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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